

# Chapter 7

## Directed Graphs

### 7.1 Definitions (and More Definitions)

Consider the set of boys Alan, Bill, Charlie and Don and the set of girls Ethel, Florence and Gail. Alan cares only for Ethel, Bill and Charlie are both keen on Florence, but Charlie is also interested in Gail. Don is a confirmed bachelor and has nothing to do with any of the girls. Ethel's heart belongs to Alan, Gail fancies Don and Florence doesn't care for any of them. We can represent this by the diagram in Figure 7.1. Here we have drawn an arrowed line from a point  $x$  to a point  $y$ , i.e., the arrow points from  $x$  to  $y$ , if  $x$  "is fond of"  $y$ .

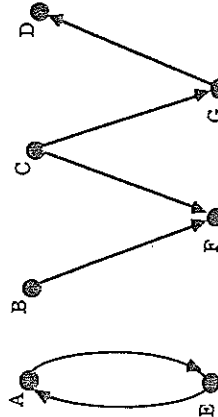


Figure 7.1: A fondness diagram.

Another way of describing this "being fond of" relationship is to list it as a set of ordered pairs:

$$(A, E), (B, F), (C, F), (C, G), (E, A), (G, D)$$

where  $(x, y)$  is in the list to signify that  $x$  is fond of  $y$ . The order in the pair  $(x, y)$  is important— $X$  first,  $Y$  second, so that  $(x, y)$  is different from  $(y, x)$  if  $x$  is different from  $y$ . Hence the term ordered pair. In Figure 7.1 this ordering was represented by the direction of the arrow head.

This brings us to the concept of a directed graph.

A directed graph  $D = (V, A)$  consists of two finite sets

$V$ , the vertex set, a nonempty set of elements called the vertices of  $D$  and  $A$ , the arc set, a (possibly empty) set of elements called the arcs of  $D$ ,

such that each arc  $a$  in  $A$  is assigned an ordered pair of vertices  $(u, v)$ .

If  $a$  is an arc, in the directed graph  $D$ , with associated ordered pair of vertices  $(u, v)$ , then  $a$  is said to join  $u$  to  $v$ ,  $u$  is called the origin or the initial vertex or the tail of  $a$ , and  $v$  is called the terminus or the terminal vertex or the head of  $a$ .

We represent directed graphs diagrammatically just as for graphs except that arcs are represented by arrowed lines. Thus, for example, Figure 7.2 represents the directed graph  $D$  with vertex set  $V = \{u, v, w, x\}$  and arc set  $A = \{a_1, a_2, a_3, a_4, a_5, a_6, a_7\}$  where

- $a_1$  joins  $u$  to  $v$  and so has associated ordered pair  $(u, v)$ ,
- $a_2$  joins  $v$  to  $v$  and so has associated ordered pair  $(v, v)$ ,
- $a_3$  joins  $v$  to  $w$  and so has associated ordered pair  $(v, w)$ ,
- $a_4$  joins  $w$  to  $v$  and so has associated ordered pair  $(w, v)$ , and so on.

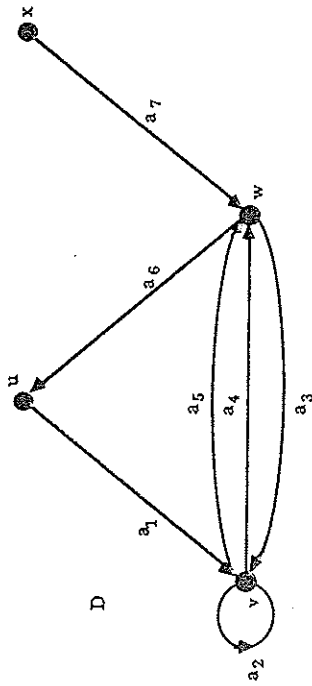


Figure 7.2: A digraph.

We shall abbreviate the term directed graph to digraph and also sometimes speak of arcs as directed edges (or simply edges).

If at some time more than one digraph is being considered we may denote the vertex set of a digraph  $D$  by  $V(D)$  and similarly the arc set by  $A(D)$ .

Given a digraph  $D$  we can obtain a graph  $G$  from  $D$  by "removing all the arrows" from the arcs. More formally this graph  $G$  has the same vertex set as  $D$  and corresponding to each arc  $a$  in  $D$  with associated ordered pair of vertices  $(u, v)$  there is an edge  $e$  in  $G$  with associated unordered pair  $(u, v)$ .  $G$  is then called the underlying graph of  $D$ .

Thus Figure 7.3 shows the underlying graph of the digraph  $D$  of Figure 7.2.

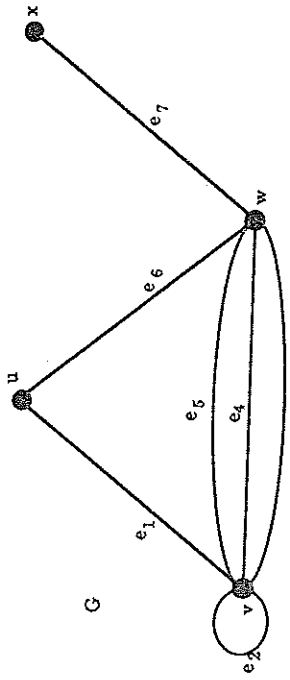


Figure 7.3: The underlying graph of the digraph of Figure 7.2.

Many of the definitions that we gave for graphs have analogues for digraphs. We now give some of these.

Let  $D$  be a digraph. Then a directed walk in  $D$  is a finite sequence

$$W = v_0 a_1 v_1 \dots a_k v_k,$$

whose terms are alternately vertices and arcs such that for  $i = 1, 2, \dots, k$ , the arc  $a_i$  has origin  $v_{i-1}$  and terminus  $v_i$ .

As in graphs, this directed walk  $W$  is often written simply as its sequence of vertices

$$W = v_0 v_1 \dots v_k,$$

the number  $k$  of arcs in  $W$  is called the length of  $W$ , and we can have directed walks of length 0 where the sequence consists solely of one vertex (and no arcs), for example  $W = v_0$ .

There are similar definitions for directed trails, directed paths, directed cycles and directed tours.

For example, in the digraph  $D$  of Figure 7.2,

$$W = u a_1 v a_2 v a_3 w a_5 v a_6 v a_7 w$$

is a directed walk of length 5. It is not a directed trail since the arc  $a_3$  occurs twice. Also

$$T = x a_7 w a_5 v a_2 v a_3 w$$

is a directed trail (of length 4). It is not a directed path since the vertex  $v$  occurs twice (as does the vertex  $w$ ). Similarly

$$P = x a_7 w a_6 u a_1 v$$

is a directed path, while

$$C = v a_4 w a_6 u a_1 v$$

is a directed cycle.

The walk  $W$  given in the definition above is said to be a  $v_0 - v_k$  walk or a walk from  $v_0$  to  $v_k$ . The vertex  $v_0$  is called the origin of the walk  $W$ , while  $v_k$  is called the terminus of  $W$ .

A vertex  $v$  of the digraph  $D$  is said to be reachable from a vertex  $u$  if there is a directed path in  $D$  from  $u$  to  $v$ .

In the digraph  $D$  of Figure 7.2,  $u, v$  and  $w$  are all reachable from  $x$  but  $x$  is not reachable from any vertex apart from itself. In digraphs there are two natural notions of connectedness.

A digraph  $D$  is said to be weakly connected or connected if its underlying graph is connected.

Thus our example  $D$  of Figure 7.2 is weakly connected.

A digraph  $D$  is said to be strongly connected (or disconnected) if for any pair of vertices  $u$  and  $v$  in  $D$  there is a directed path from  $u$  to  $v$ , i.e., given any pair of vertices in  $D$ , each is reachable from the other.

Since  $x$  is not reachable from any other vertex, the digraph of Figure 7.2 is not strongly connected. However the digraph of Figure 7.4 is strongly connected.

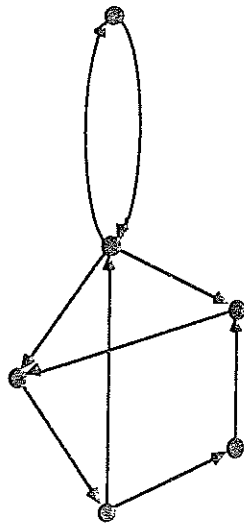


Figure 7.4: A strongly connected digraph.

Given a graph  $G$  we can obtain a digraph from  $G$  by specifying for each edge in  $G$  an order to its end vertices. Such a digraph  $D$  is called an orientation of  $G$ .

The graph  $K_3$  has eight different orientations, shown as  $D_1, \dots, D_8$  in Figure 7.5, although, of these, only two are strongly connected, namely  $D_1$  and  $D_8$ .

Two digraphs  $D_1$  and  $D_2$  are said to be isomorphic if there is a one-to-one and onto correspondence between  $V(D_1)$  and  $V(D_2)$  and also a one-to-one and onto correspondence between  $E(D_1)$  and  $E(D_2)$  such that if arc  $e_1$  in  $D_1$  goes from vertex  $u_1$  to  $v_1$  then the corresponding arc  $e_2$  in  $D_2$  goes from vertex  $u_2$  to vertex  $v_2$  where  $u_2$  and  $v_2$  are the vertices in  $D_2$  corresponding to  $u_1$  and  $v_1$  respectively. Of course, such a pair of correspondences is called a (digraph) isomorphism.

Section 7.1. Definitions (and More Definitions)

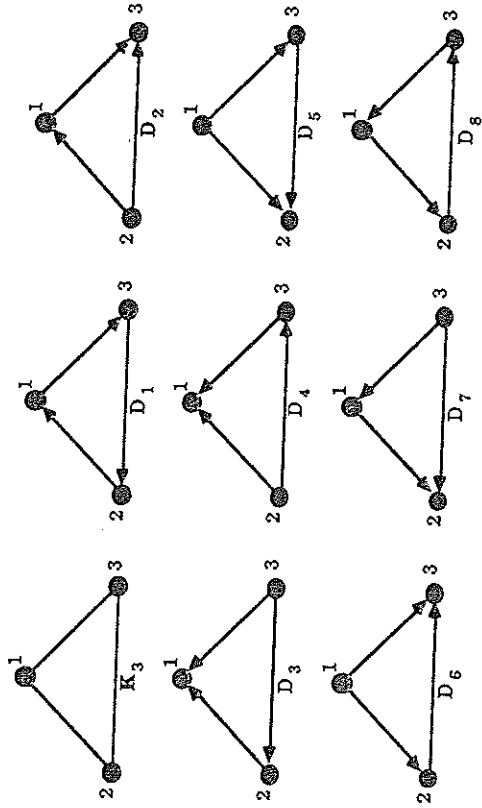


Figure 7.5: The eight orientations of  $K_3$ .

It can be easily seen that, among the orientations of  $K_3$  shown in Figure 7.5,  $D_1$  and  $D_8$  are isomorphic with a suitable vertex correspondence given by

$$1 \sim 1, 2 \sim 2, 3 \sim 3,$$

while  $D_2, D_3, \dots, D_7$  are all isomorphic to each other. For example, for  $D_2$  and  $D_6$  the vertex correspondence is

$$1 \sim 2, 2 \sim 1, 3 \sim 3.$$

A strong component  $S$  of a digraph  $D$  is a subdigraph of  $D$  which is strongly connected and is not a proper subdigraph of any other strongly connected subdigraph of  $D$ .

This notion corresponds to that of connected components in graphs. Using arguments similar to that used for graphs we can show that if the vertex  $u$  belongs to the strong component  $S$  of the digraph  $D$  then  $S$  consists of all those vertices  $v$  of  $D$  in  $D$  which join such vertices. (We realise that we have not formally defined the term subdigraph used in the definition but feel confident in leaving this to the reader.) Figure 7.6 shows a digraph  $D$  and its four strong components.

A digraph  $D$  is called simple if, for any pair of vertices  $u$  and  $v$  of  $D$ , there is at most one arc from  $u$  to  $v$  and there is no arc from  $u$  to itself.

For example, the digraph  $D$  of Figure 7.2 is not simple but that of Figure 7.4 is.

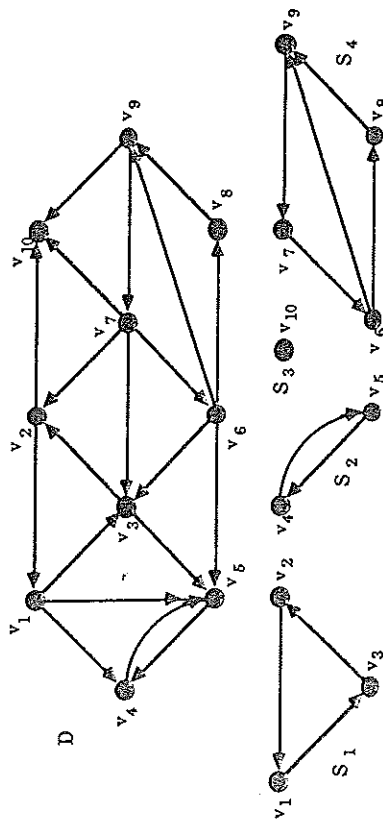


Figure 7.6: A digraph and its strong components.

Exercises for Section 7.1

7.1.1 Let  $D$  be the digraph of Figure 7.7.

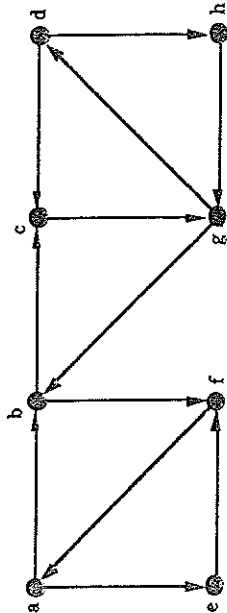


Figure 7.7

- (a) Find a directed walk in  $D$  of length 8. Is this walk a directed path?
- (b) Find a directed trail in  $D$  of length 10.
- (c) Find a directed path in  $D$  of longest possible length.
- (d) Find a directed cycle in  $D$  of longest possible length.
- (e) Is  $D$  weakly connected?
- (f) Is  $D$  strongly connected? If so, give an example of a directed path from  $u$  to  $v$  for each pair of vertices  $u$  and  $v$  of  $D$ . If  $D$  is not strongly connected, find a pair of vertices  $u$  and  $v$  such that  $u$  is not reachable from  $v$ .

Section 7.1. Definitions (and More Definitions)

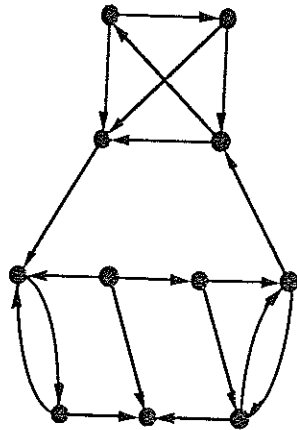


Figure 7.8

7.1.2 Find the strong components of the digraph of Figure 7.8.

7.1.3 A digraph  $D$  is said to be **unilaterally connected** if, given any pair of vertices  $u$  and  $v$  of  $D$ , either  $u$  is reachable from  $v$  or  $v$  is reachable from  $u$ , but not necessarily both.

(a) Show that the digraph of Figure 7.9 is unilaterally connected but not strongly connected.

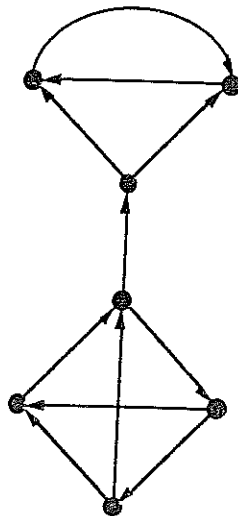


Figure 7.9: A unilaterally connected digraph which is not strongly connected.

- (b) Determine whether or not the digraph of Figure 7.8 is unilaterally connected.
  - (c) Prove that a digraph  $D$  is unilaterally connected if and only if there is a directed walk in  $D$  involving all the vertices of  $D$ , i.e.,  $D$  has a directed spanning walk.
- 7.1.4 Let  $u$  and  $v$  be distinct vertices of the digraph  $D$ . Prove that every directed  $u - v$  walk in  $D$  contains a directed  $u - v$  path. (This is the digraph analogue of Theorem 1.3.)
- 7.1.5 Prove that a digraph  $D$  is strongly connected if and only if it has a closed directed walk going through all its vertices. (Compare this result with Exercise 7.1.3 (c).)

7.1.6 Which pairs of the digraphs given in Figure 7.10 are isomorphic? For those pairs that are isomorphic, write down an isomorphism. For those pairs that are not isomorphic, explain clearly why they are not.

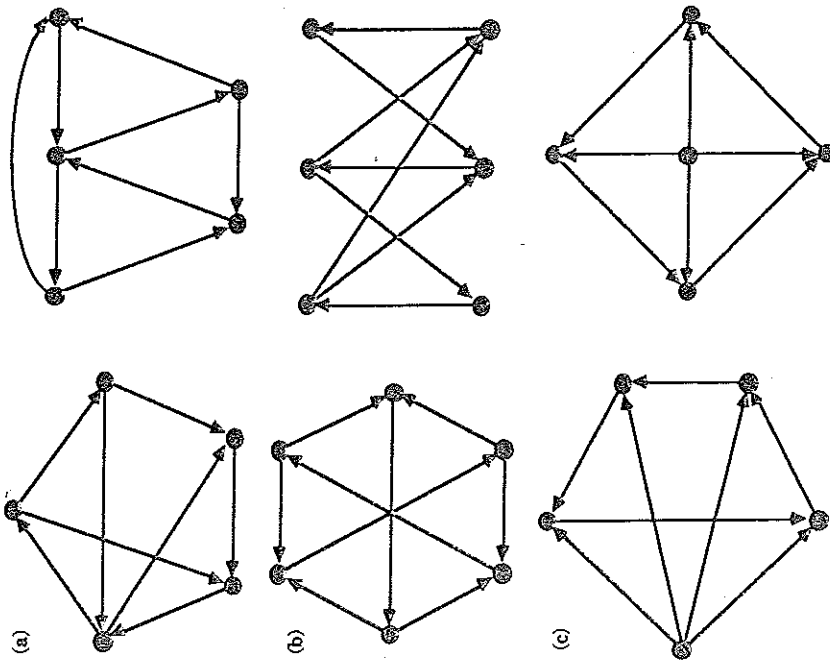


Figure 7.10: Pairs of isomorphic digraphs?

7.1.7 Let  $D$  be a simple digraph. We define the complement of  $D$  to be the simple digraph  $\bar{D}$  with the same vertex as  $D$  and where there is an arc from a vertex  $u$  to a vertex  $v$  if and only if there is no such arc in  $D$ . Figure 7.1.1 illustrates a digraph and its complement.

Give an example of a simple weakly connected digraph  $D$  which is not unilaterally connected but its complement is

- (a) strongly connected,

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(b) unilaterally connected but not strongly connected.

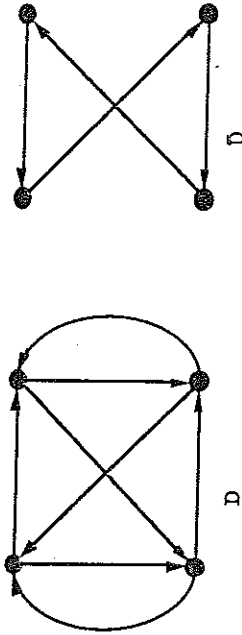


Figure 7.11: A simple digraph and its complement.

7.1.8 Given a digraph  $D$  we define its condensation to be the simple digraph  $D^*$  whose vertices  $v_1, \dots, v_n$  are in one-to-one correspondence with the strong components  $S_1, \dots, S_n$  of  $D$  and such that there is an arc  $a$  from  $u_i$  to  $u_j$  (for  $i \neq j$ ) if and only if there is an arc from some vertex of  $S_i$  to some vertex of  $S_j$ . Figure 7.1.12 shows a digraph  $D$  and its condensation  $D^*$ .

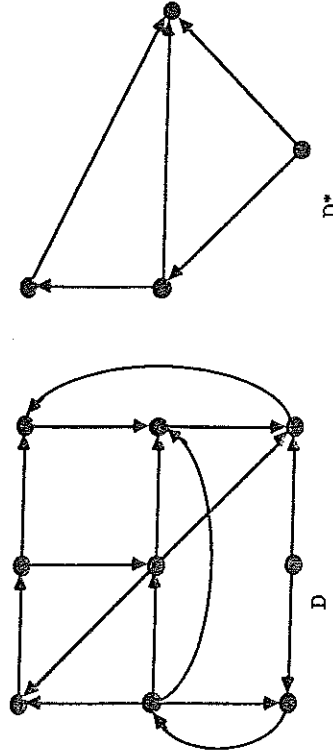


Figure 7.12: A digraph and its condensation.

- (a) Find the condensation  $D^*$  of the digraph  $D$  of Figure 7.8.
- (b) Prove that the condensation  $D^*$  of any digraph  $D$  has no directed cycles.
- (c) Prove that the condensation  $D^*$  of any digraph  $D$  is strongly connected, unilaterally connected or weakly connected if and only if  $D$  is strongly connected, unilaterally connected or weakly connected, respectively. (This shows that the condensation construction produces a digraph  $D^*$ , usually much simpler than the given digraph  $D$ , with the same connectedness attributes as  $D$ .)

7.1.9 Construct the digraph  $D'$  from a given digraph  $D$  by introducing a new vertex  $v$  and an arc joining  $v$  to each vertex of  $D$  and an arc joining each vertex of  $D$  to  $v$ . Prove that  $D'$  is strongly connected.

7.1.10 The converse of a digraph  $D$ , denoted by  $\overleftarrow{D}$ , is the digraph obtained from  $D$  by reversing the direction of each arc of  $D$ .

- (a) Prove that a vertex  $v$  is reachable from  $u$  in  $D$  if and only if  $u$  is reachable from  $v$  in  $\overleftarrow{D}$ .
- (b) Prove that  $D$  is weakly connected, unilaterally connected or strongly connected if and only if  $\overleftarrow{D}$  is weakly connected, unilaterally connected or strongly connected, respectively.
- (c) Show that for every  $n \geq 1$  there is a digraph  $D$  on  $n$  vertices which is isomorphic to its converse.

## 7.2 Indegree and Outdegree

Let  $v$  be a vertex in the digraph  $D$ . The indegree  $id(v)$  of  $v$  is the number of arcs of  $D$  that have  $v$  as its head, i.e., the number of arcs that "go to"  $v$ . Similarly, the outdegree  $od(v)$  of  $v$  is the number of arcs of  $D$  that have  $v$  as its tail, i.e., that "go out" of  $v$ .

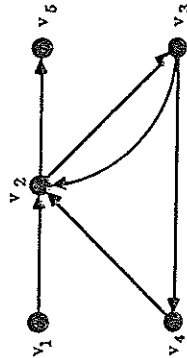


Figure 7.13

Thus in the digraph of Figure 7.13 we have  $id(v_1) = 0$ ,  $id(v_2) = 3$ ,  $id(v_3) = 1$ ,  $id(v_4) = 1$ ,  $id(v_5) = 1$ , while  $od(v_1) = 1$ ,  $od(v_2) = 2$ ,  $od(v_3) = 2$ ,  $od(v_4) = 1$ ,  $od(v_5) = 0$ .

Our first theorem on digraphs is the analogue of Theorem 1.1 on graphs.

**Theorem 7.1 (The First Theorem of Digraph Theory)** Let  $D$  be a digraph with  $n$  vertices and  $q$  arcs. If  $\{v_1, \dots, v_n\}$  is the set of vertices of  $D$  then

$$\sum_{i=1}^n id(v_i) = \sum_{i=1}^n od(v_i) = q.$$

## Section 7.2. Indegree and Outdegree

**Proof** When the indegrees of the vertices are summed, each arc is counted exactly once since every arc goes to exactly one vertex. Thus

$$\sum_{i=1}^n id(v_i) = q.$$

Similarly, when the outdegrees are summed, each arc is counted exactly once since every arc goes out of exactly one vertex and this gives the other equality.  $\square$

We continue with our graph theory analogies.

Let  $D$  be a weakly connected digraph. Then a **directed Euler trail** in  $D$  is a directed open trail of  $D$  containing all the arcs of  $D$  (once and only once). A **directed Euler tour** of  $D$  is a directed closed trail of  $D$  containing all the arcs of  $D$  (once and only once). A digraph  $D$  containing a directed Euler tour is called an **Euler digraph**.

For example, in Figure 7.14 the digraph  $D_1$  is Euler with directed Euler tour

$$a_1 a_2 a_3 a_4 a_5 a_6 a_7 a_8 a_9 a_{10} a_{11}$$

while the digraph  $D_2$ , although it is not Euler, has a directed Euler trail, e.g.,

$$a_1 a_2 a_3 a_4 a_5.$$

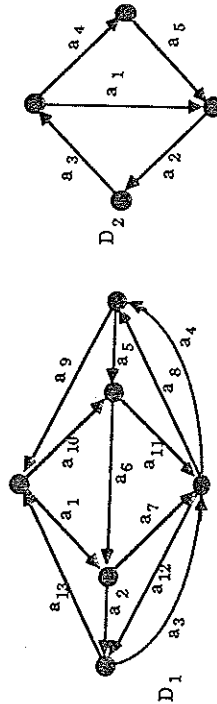


Figure 7.14:  $D_1$  is an Euler digraph and  $D_2$  has a directed Euler trail.

We now give a characterisation of Euler digraphs which is very similar to that of Euler graphs given in Theorem 3.2.

**Theorem 7.2** Let  $D$  be a weakly connected digraph with at least one arc. Then  $D$  is Euler if and only if  $od(v) = id(v)$  for every vertex  $v$  of  $D$ .

**Proof** Suppose first that  $D$  is Euler and let  $T$  denote a directed Euler tour of  $D$ , beginning (and so finishing) at the vertex  $v$ . Then, if  $u$  is a vertex different from  $v$ , each time that  $u$  is encountered on  $T$  it is entered by an arc and left by an arc and so each occurrence of  $u$  in  $T$  represents a contribution of 1 to the indegree of  $u$  and 1 to the outdegree of  $u$ . Since every arc incident with  $u$  occurs in  $T$  it follows that

$od(u) = id(u)$ . It is left to consider the initial vertex  $v$  of  $T$ . Since  $T$  begins and ends at  $v$  the first arc of  $T$  contributes 1 to the outdegree of  $v$  while the last arc contributes 1 to its indegree. Since every other occurrence of  $v$  on the tour  $T$  contributes 1 each to its outdegree and indegree, it follows once again that  $od(v) = id(v)$ .

For the converse, suppose that  $D$  is a weakly connected digraph with  $od(v) = id(v)$  for every vertex  $v$  of  $D$ . We use induction on  $q$ , the number of arcs in  $D$ . To begin with, if  $D$  has only one arc,  $a$ , say, with head  $u$  and tail  $v$ , then  $u = v$  since otherwise either  $od(v) = 1$  but  $id(v) = 0$  or  $od(u) = 1$  but  $id(u) = 0$ , in contradiction to the hypothesis on  $D$ . Thus  $a$  is a directed loop and by itself gives a directed Euler tour of  $D$ .

Although it is not necessary for us to do this, let us now suppose that  $q = 2$ . Then, again by the hypothesis, either both of the two arcs are loops incident with the same vertex or they are both non-loops with opposite heads and tails as shown in Figure 7.15. Clearly both the resulting digraphs have a directed Euler tour.



Figure 7.15: The only Euler digraphs with two arcs.

Now assume that  $q$  is a fixed number with  $q \geq 3$ , and that all weakly connected digraphs with less than  $q$  arcs in which every vertex has equal outdegree and indegree are Euler. Since our digraph  $D$  is weakly connected and  $od(v) = id(v)$  for every vertex  $v$ , every vertex of  $D$  has positive outdegree, i.e., its outdegree is not zero.

Now select any vertex  $u$  in  $D$ . Since  $od(u) > 0$  there exists a trail  $W'$  in  $D$  starting at  $u$ . If  $W'$  also finishes at  $u$  then we have a closed  $u - u$  trail in  $D$ . If  $W'$  finishes at  $v \neq u$  then, using the "contribution argument" of the first part of the proof there must be an arc  $a$  in  $D$  going out of  $v$  which is not part of  $W'$  and so we can extend  $W'$  to a longer trail. Clearly we can only make these extensions a finite number of times before we are forced to finish the trail at  $u$ , our starting place. This argument shows that we can find a closed trail  $W$  starting (and so finishing) at the vertex  $u$ .

Now if this closed trail  $W$  contains every arc of  $D$  we are finished since it is a directed Euler tour and so  $D$  is Euler. Otherwise there are arcs of  $D$  that do not belong to  $W$ . Remove from  $D$  all those arcs in  $W$  together with any resulting isolated vertices to obtain a new digraph  $F$ . Since for every vertex  $v$  of  $W$  we have  $od_W(v) = id_W(v)$  (where  $od_W(v)$  and  $id_W(v)$  denote the outdegree and indegree, respectively, of  $v$  in  $W$ ) it follows that in  $F$   $od(v) = id(v)$  for every  $v$  in  $F$ . Now the connected components of the underlying graph  $G$  of  $F$  produce weakly connected subdigraphs of  $F$  each having less than  $q$  edges and with every vertex having equal indegree and outdegree. Thus, by our induction hypothesis, each such subdigraph is Euler. Moreover, since  $D$  is weakly connected, each of these subgraphs has a vertex in common with  $W$ . A directed Euler tour can now be constructed by attaching to  $W$  at each of these common vertices the Euler tour of the subdigraph.  $\square$

The following is the digraph analogue of Theorem 3.3.

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**Theorem 7.3** Let  $D$  be a weakly connected digraph with at least two vertices. Then  $D$  has a directed Euler trail if and only if  $D$  has two vertices  $u$  and  $v$  such that

$$od(u) = id(u) + 1 \text{ and } id(v) = od(v) + 1$$

and, for all other vertices  $w$  of  $D$ ,  $od(w) = id(w)$ . Furthermore, in this case the trail begins at  $u$  and ends at  $v$ .

**Proof** Suppose that  $D$  contains an Euler trail  $W$  that begins at  $u$  and ends at  $v$ . Then, as in the first part of the proof of Theorem 7.2, for every vertex  $w$  different from both  $u$  and  $v$  we get  $od(w) = id(w)$ . Moreover the first arc of  $W$  contributes 1 to the outdegree of  $u$  while every other occurrence of  $u$  in  $W$  contributes 1 each to the outdegree of  $u$  and the indegree of  $u$ . Therefore  $od(u) = id(u) + 1$ . Similarly  $id(v) = od(v) + 1$ .

Conversely, let  $D$  be a weakly connected digraph containing vertices  $u, v$  as described in the statement and with  $od(w) = id(w)$  for all other vertices  $w$ . Add a new arc  $a$  to  $D$  joining  $v$  to  $u$ . This produces a new digraph  $F$  in which the new outdegree of  $v$  is one more than its old outdegree so now  $id(v) = od(v)$ , similarly  $id(u) = od(u)$ , and for every other vertex  $w$  we still have  $id(w) = od(w)$ . Moreover  $F$  is weakly connected and so since  $id(x) = od(x)$  for every vertex  $x$  in  $F$  it follows from Theorem 7.2 that  $F$  is Euler. Let  $T$  then be a directed Euler tour. Then  $T$  contains all the arcs of  $D$  together with the added arc  $a$ . Deleting this arc  $a$  produces a directed Euler trail back in our digraph  $D$ , and this trail must start at  $u$  and finish at  $v$ , as required.  $\square$

We can apply Theorems 7.2 and 7.3 to the digraphs  $D_1$  and  $D_2$  respectively of Figure 7.14 to verify, just by looking at the outdegrees and indegrees of the vertices, that they have a directed Euler tour and trail respectively (and in  $D_2$  the trail must start at the tail of the arc  $a_1$  and finish at the head of the arc  $a_2$ ).

We now discuss an application of directed Euler tours to a problem in coding theory. Let  $\Sigma = \{0, \dots, n-1\}$  be an alphabet of  $n$  letters. We can form precisely  $n^k$  different sequences of length  $k$  using these letters. Such a sequence is called a word of length  $k$  from  $\Sigma$ .

An  $(n, k)$  de Bruijn sequence is a sequence

$$a_0 a_1 \dots a_{t-1}$$

of letters from  $\Sigma = \{0, \dots, n-1\}$  such that every word  $w$  of length  $k$  from  $\Sigma$  can be written in the form

$$w = a_i a_{i+1} \dots a_{i+k-1}$$

for a unique  $i \in \{0, \dots, t-1\}$ . (In the case where  $i \geq t-1-k$  this form is interpreted to be

$$a_i a_{i+1} \dots a_{t-1} a_0 \dots a_{i+k-t-1},$$

so that, in effect, the sequence  $a_0 a_1 \dots a_{t-1}$  is cyclical.)

For example, consider the case alphabet  $n = 2$ , so that  $\Sigma = \{0, 1\}$ . Then the sequence

1 0 0 0 1 1 1 0

is a  $(2, 3)$  de Bruijn sequence. To see this, take sections of three consecutive letters  $a_i a_{i+1} a_{i+2}$  from the sequence, starting at its beginning (and running over to the beginning again for the last two words). This produces all of the  $2^3 = 8$  different words of length 3 from  $\Sigma$  namely:

100, 000, 001, 011, 111, 110, 101, 010.

In general the process of producing all of the  $n^k$  words from a given  $(n, k)$  de Bruijn sequence is to take the first  $k$  letters from the sequence for the first word, then "shift" this along by one place to get the second word and continue this shifting procedure until we come full circle back to the first word. Since there are  $n^k$  shifts in all, we see that any  $(n, k)$  de Bruijn sequence has precisely  $n^k$  terms.

De Bruijn sequences are very important in coding theory and are used by shift registers in the case where  $\Sigma = \{0, 1\}$ . For this reason they are also called shift register sequences.

We now associate to any given  $(n, k)$  de Bruijn sequence a digraph  $D_{n,k}$ , called a **de Bruijn diagram** or **Good diagram**. The vertex set  $V$  of  $D_{n,k}$  is defined to be the set of all words of length  $k-1$  from the alphabet  $\Sigma = \{0, \dots, n-1\}$ , so that  $D_{n,k}$  has  $n^{k-1}$  vertices. We now introduce an arc from each such vertex (word)  $b_1 b_2 \dots b_{k-1}$  to each vertex (word) of the form  $b_2 b_3 \dots b_k$ , (so that we cancel off the first term of the tail of the arc and add on a new last term to get the head of the arc). We label this arc unambiguously by  $b_1 b_2 \dots b_k$ . Note that there are  $n^k$  such arcs, and that each corresponds uniquely to an  $(n, k)$  de Bruijn sequence. Figures 7.16 and 7.17 illustrate the two digraphs  $D_{2,3}$  and  $D_{3,3}$ .

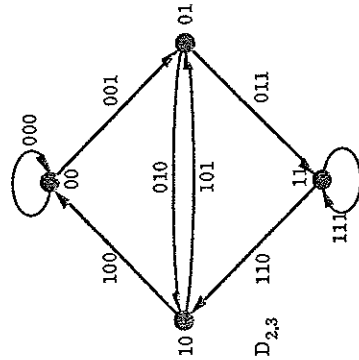


Figure 7.16: The de Bruijn diagram  $D_{2,3}$ .

We now use the digraphs  $D_{n,k}$  to show that, for every pair of positive integers  $n$  and  $k$ , both greater than 1, there is an  $(n, k)$  de Bruijn sequence.

Section 7.2. Indegree and Outdegree

First suppose that the digraph  $D_{n,k}$  has a directed Euler tour  $T$  say. Now choose the first term of each arc of  $T$  in turn to form a sequence  $\sigma$  of  $n^k$  terms. We claim that  $\sigma$  is a de Bruijn sequence. To see this, let  $w = b_1 b_2 b_3 \dots b_k$  be any word of length  $n$  from  $\Sigma$ . Then this corresponds to the unique arc  $a$  from the vertex  $b_1 b_2 b_3 \dots b_{k-1}$  to the vertex  $b_2 b_3 b_4 \dots b_k$ . On the tour  $T$  the next arc after  $a$  must begin  $b_2 b_3 b_4 \dots b_{k-1}$  to the next one after that must begin  $b_3 b_4 \dots$ , and so on. Thus, in our construction of the sequence  $\sigma$ , we must encounter  $w = b_1 b_2 b_3 \dots b_k$  as a subsequence of  $n$  consecutive terms. This shows that each of the  $n^k$  words is generated by  $\sigma$  in the desired way and so, since  $\sigma$  has  $n^k$  terms, it follows that  $\sigma$  is a de Bruijn sequence, as claimed.

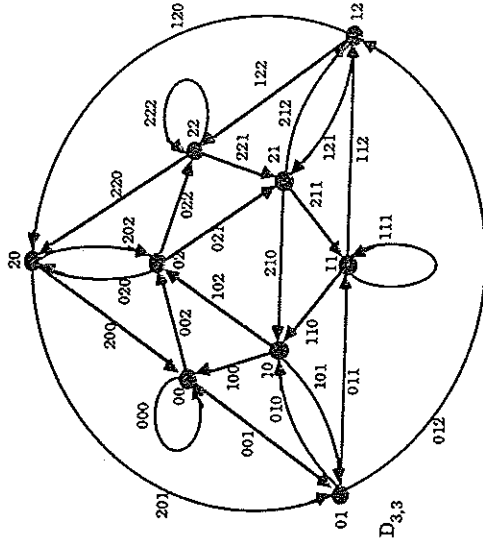


Figure 7.17: The de Bruijn diagram  $D_{3,3}$ .

We have proved that an  $(n, k)$  de Bruijn sequence exists provided the corresponding digraph  $D_{n,k}$  has a directed Euler tour. The following theorem guarantees such tours. It was proved by N. G. de Bruijn [15] for the case  $k = 2$  and for arbitrary  $k$  by I. J. Good [27].

**Theorem 7.4 (de Bruijn, 1946; Good, 1946)** For each pair of positive integer  $n$  and  $k$ , both greater than one, the de Bruijn diagram  $D_{n,k}$  has a directed Euler tour.

**Proof** By Theorem 7.2 it suffices to show that  $D_{n,k}$  is weakly connected and that  $\text{id}(v) = \text{od}(v)$  for each of its vertices  $v$ .

Let  $x$  and  $y$  be two vertices of  $D_{n,k}$ , say  $x = b_1 b_2 b_3 \dots b_{k-1}$  and  $y = c_1 c_2 c_3 \dots c_{k-1}$ . Then there is a directed path from  $x$  to  $y$  given by the sequence of vertices

$$b_1 b_2 b_3 \dots b_{k-1}, b_2 b_3 \dots b_{k-1} c_1, b_3 b_4 \dots b_{k-1} c_1 c_2, \dots, c_1 c_2 c_3 \dots c_{k-1}.$$

This shows that  $D_{n,k}$  is weakly connected, in fact, strongly connected.



Consider again our vertex  $x = b_1 b_2 \dots b_{n-1}$ . By the definition of the arcs in  $D_{n,k}$ , any arc  $a$  having  $x$  as its tail is of the form  $y = b_1 b_2 \dots b_{n-1} c_1$ . It follows that  $x$  has outdegree  $n$ . Similarly, any arc  $b$  having  $x$  as its head is of the form  $y = a_1 b_1 b_2 b_3 \dots b_{n-2}$  and so  $x$  has also indegree  $n$ . Thus, since  $od(x) = id(x)$  for all vertices  $x$ ,  $D_{n,k}$  has a directed Euler tour, as required.  $\square$

To illustrate the above discussion, we construct a  $(3, 3)$  de Bruijn sequence using the de Bruijn diagram of Figure 7.17. As the reader may easily check from the Figure, a directed Euler tour of  $D_{3,3}$  is given by the sequence of arcs

200, 000, 001, 011, 111, 112, 122, 222, 220,  
 202, 022, 221, 212, 121, 211, 110, 101, 010,  
 100, 002, 021, 210, 102, 020, 201, 012, 120.

Thus, taking the first term of each of these arcs in turn, we get the de Bruijn sequence

2000111222022121101002110201.

Similarly, using the de Bruijn diagram of Figure 7.16, we may construct the  $(2, 3)$  de Bruijn sequence

00011101.

We now discuss an application of  $(2, k)$  de Bruijn sequences to a problem in telecommunications. To simplify the discussion we restrict our attention to  $(2, 3)$  de Bruijn sequences, (which are of length eight). Suppose we have a rotating drum with eight segments round its circumference, some of which can conduct an electric current while the others are insulated. Three electric contacts are placed against the drum so that after any rotation of the drum there are three consecutive drum segments touching these contacts, one segment per contact. Then, for example, if we denote a conducting segment by 1 and an insulated one by 0, we get a binary sequence of length three for each position of the drum. The question arises as to whether we can arrange the segments of the drum so that each position gives a different sequence.

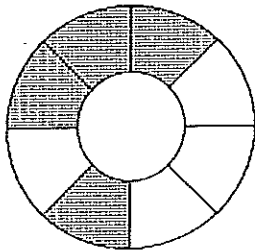


Figure 7.18: A drum design constructed using a  $(2, 3)$  de Bruijn sequence.

Clearly such an arrangement amounts to finding a  $(2, 3)$  de Bruijn sequence. Using the one above, we get the drum design shown in Figure 7.18 where the dark segments,

corresponding to the 0's in the sequence, are insulated and the positioning starts at the top and proceeds clockwise. Finally we note that this problem occurs in a various forms, ranging from the generation of codes in cryptography to the design of washing machine dials, and is known as the teleprinter's problem.

Exercises for Section 7.2

- 7.2.1 Find  $od(v)$  and  $id(v)$  for each vertex of the digraph of Figure 7.7.
- 7.2.2 A digraph  $D$  is called  $k$ -regular if  $od(v) = id(v) = k$  for each vertex  $v$  of  $D$ .
  - (a) Give an example of a 1-regular digraph with  $n$  vertices for each  $n \geq 2$ .
  - (b) Give an example of a 2-regular digraph with five vertices.
  - (c) Prove that given any  $n \geq 1$  and any  $k$  with  $0 \leq k < n$  there is a simple  $k$ -regular digraph  $D$  with  $n$  vertices.

7.2.3 Let  $D$  be a digraph with an odd number of vertices. Prove that if each vertex of  $D$  has an odd outdegree then there is an odd number of vertices of  $D$  with odd indegree.

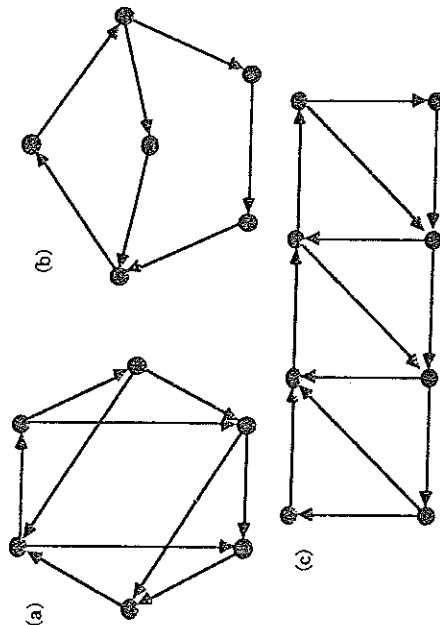


Figure 7.19

- 7.2.4 Which digraphs of Figure 7.19 are Euler and which have a directed Euler trail?
- 7.2.5 Let  $D$  be an Euler digraph. Prove that  $D$  is strongly connected.
- 7.2.6 Let  $D$  be a digraph with a directed Euler trail. Prove that  $D$  is unilaterally connected.

- 7.2.7 Draw the (3, 2) de Bruijn diagram and use it to construct a (3, 2) de Bruijn sequence.
- 7.2.8 Draw the (2, 4) de Bruijn diagram and use it to construct a (2, 4) de Bruijn sequence. Use this sequence to design a rotating drum, having the same properties as that described in the text but with 16 segments round its circumference and four electric contacts.
- 7.2.9 Prove by induction on  $n$  that for each  $n \geq 1$  there is a simple digraph  $D$  with  $n$  vertices  $v_1, \dots, v_n$  such that  $od(v_i) = i - 1$  and  $id(v_i) = n - i$  for each  $i = 1, \dots, n$ .
- 7.2.10 Let  $D$  be a digraph such that either every vertex of  $D$  has positive outdegree or every vertex of  $D$  has positive indegree. Prove that  $D$  has a directed cycle.
- 7.2.11 Let  $D$  be a digraph such that  $id(v) \geq k$  for every vertex  $v$ , where  $k$  is some fixed positive integer. Prove that  $D$  has a directed cycle of length at least  $k + 1$ .

### 7.3 Tournaments

A tournament is an orientation of a complete graph.

In other words, a tournament is a digraph with no (directed) loops in which any two distinct vertices are joined by exactly one arc.

The number of non-isomorphic tournaments increases sharply with the number of vertices. For example, there is only one tournament with exactly 1 vertex and only one with exactly 2 vertices. There are two tournaments on 3 vertices, four on 4 vertices, 12 on 5 vertices. However there are over 9 million on 10 vertices.

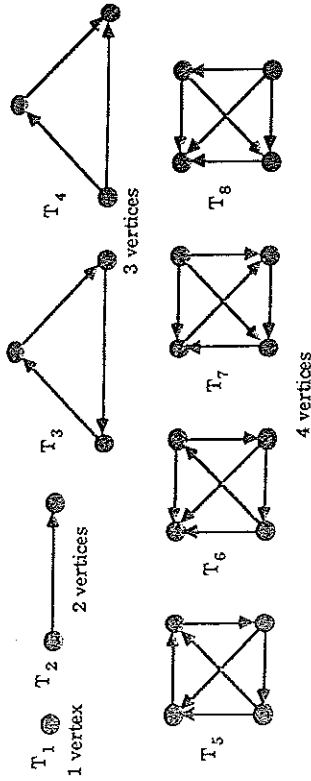


Figure 7.20: The tournaments on at most four vertices.

The tournaments on less than five vertices are shown in Figure 7.20. Here, in the tournaments on four vertices,  $T_3$  is the only one with a directed cycle of length 4,  $T_6$

### Section 7.3. Tournaments

is the only one with a directed cycle of length 3 and a vertex of indegree 3,  $T_7$  is the only one with a directed cycle of length 3 and a vertex of outdegree 3 and  $T_8$  is the only one with no directed cycle of length 3.

The reason for the name "tournament" is that the digraph can be used to record the results of games in a round-robin tournament in any game in which draws are not allowed, such as tennis. The arc from  $a$  to  $b$  then indicates that  $a$  has beaten  $b$ .

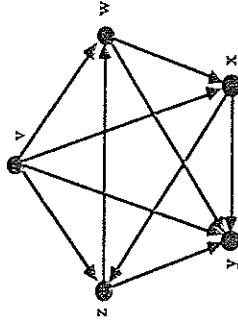


Figure 7.21: A tournament on five vertices.

For example, in the digraph of Figure 7.21 representing a round-robin tournament,  $v$  has beaten every other competitor,  $y$  has lost each match, each of the other competitors have won two and lost two matches. The vertex  $v$  has maximum outdegree 4. Every other vertex can be reached from  $v$  by a directed path of length at most 2. This illustrates the following general result:

**Theorem 7.5** Let  $v$  be any vertex having maximum outdegree in the tournament  $T$ . Then for every vertex  $w$  of  $T$  there is a directed path from  $v$  to  $w$  of length at most 2.

**Proof** Let  $od(v) = m$  and let the vertices joined by an arc from  $v$  be  $v_1, v_2, \dots, v_m$ . If  $T$  has  $n$  vertices then each of the remaining  $n - m - 1$  vertices  $u_1, u_2, \dots, u_{n-m-1}$  are adjacent to  $v$ , since  $T$  is a tournament, i.e., for these remaining vertices  $u_j$ ,  $1 \leq j \leq n - m - 1$ , there are arcs from  $u_j$  to  $v$ . (See Figure 7.22.)

Then for each  $i$ ,  $1 \leq i \leq m$ , the arc from  $v$  to  $v_i$  gives a directed path of length 1 from  $v$  to  $v_i$ . It remains to show that there is a directed path of length 2 from  $v$  to  $u_j$  for each  $j$ ,  $1 \leq j \leq n - m - 1$ .

Given such a vertex  $u_j$ , if there is an arc from  $v_i$  to  $u_j$  for some  $i$  then  $vv_iu_j$  gives a directed path of the desired type. However, now suppose there is a  $u_k$ ,  $1 \leq k \leq n - m - 1$ , such that no vertex  $v_i$ ,  $1 \leq i \leq m$ , has an arc from  $v_i$  to  $u_k$ . Then, because  $T$  is a tournament, there must be an arc from  $u_k$  to each of the  $m$  vertices  $v_i$ . Since we also have an arc from  $u_k$  to  $v$  this gives  $od(u_k) \geq m + 1$ . This contradicts the fact that  $v$  has maximum outdegree with  $od(v) = m$ . Thus each  $u_j$  must have an arc joining it from some  $v_i$  and so the proof is complete by using the directed path  $vv_iu_j$ .  $\square$

Theorem 7.5 has the following interpretation in round-robin tournaments. Let  $w$  be a winner in such a tournament, i.e., any player with the most victories — there may

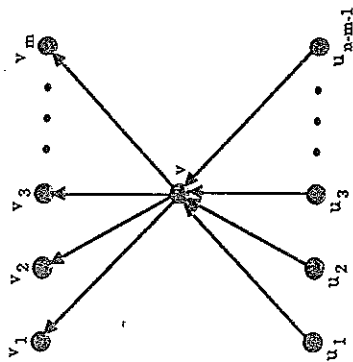


Figure 7.22: Vertex  $v$  has maximum outdegree.

be more than one winner. Then  $w$  has been defeated only by players who themselves have lost to players defeated by  $w$ .

Suppose  $T$  is a tournament on  $n$  vertices and let  $v$  be any vertex of  $T$ . Then by  $T - v$  we mean the directed graph obtained from  $T$  by removing  $v$  and all arcs incident with  $v$ . Now any two vertices of  $T - v$  are joined by exactly one arc, since these two vertices are joined by exactly one arc in  $T$ . Hence  $T - v$  is also a tournament. We use this property of tournaments in the proof of the next theorem, due to Rédei [53].

A directed Hamiltonian path of a digraph  $D$  is a directed path in  $D$  that includes every vertex of  $D$  (once and only once).

**Theorem 7.6 (Rédei, 1934)** Every tournament  $T$  has a directed Hamiltonian path.

**Proof** Assume that  $T$  has  $n$  vertices. If  $n = 1, 2$  or  $3$  we can easily check from Figure 7.20 that  $T$  has a directed Hamiltonian path. Thus we may assume  $n \geq 4$ .

Fix such an  $n$  and assume that the result is true for all tournaments on  $n-1$  vertices. Let  $v$  be a vertex of  $T$ . Then  $T - v$  has  $n-1$  vertices and so, since by the remarks above  $T - v$  is a tournament, there is, by our assumption, a directed Hamiltonian path in  $T - v$ . Let  $P = v_1 v_2 \dots v_{n-1}$  be such a path.

Now, if there is an arc from  $v$  to  $v_1$ , then

$$P' = v v_1 v_2 \dots v_{n-1}$$

is a directed Hamiltonian path in  $T$ . Similarly, if there is an arc from  $v_{n-1}$  to  $v$  then

$$P'' = v_1 v_2 \dots v_{n-1} v$$

is a directed Hamiltonian path in  $T$ . Thus, in both of these cases, we are finished.

Hence we may now suppose that there is no arc from  $v$  to  $v_1$  and no arc from  $v_{n-1}$  to  $v$ . Then there is at least one vertex  $w$  on the path  $P$  with the property that there is an arc from  $w$  to  $v$  and  $w$  is not  $v_{n-1}$  (since  $v_1$  has this property). Let  $v_i$  be the

last vertex on  $P$  having this property, so that the next vertex  $v_{i+1}$  does not have this property. Then, in particular, there is an arc from  $v_i$  to  $v$  and an arc from  $v$  to  $v_{i+1}$ , as illustrated in Figure 7.23. But then  $Q = v_1 v_2 \dots v_i v v_{i+1} \dots v_{n-1}$  gives us a directed Hamiltonian path in  $D$ . Our proof is now complete by induction.  $\square$

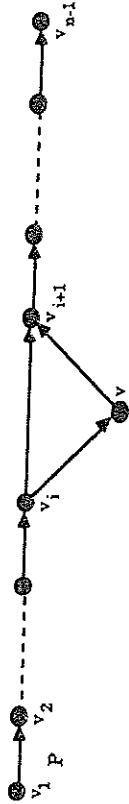


Figure 7.23

A directed Hamiltonian cycle in a digraph  $D$  is a directed cycle which includes every vertex of  $D$ . If  $D$  contains such a cycle then  $D$  is called Hamiltonian.

The previous result shows that every tournament is "nearly Hamiltonian". The next two, due to Camion [11], completely determine when a tournament is Hamiltonian.

**Theorem 7.7** A strongly connected tournament  $T$  on  $n$  vertices contains directed cycles of length  $3, 4, \dots, n$ .

**Proof** We first show that  $T$  contains a directed cycle of length 3. Let  $v$  be any vertex of  $T$ . Let  $W$  denote the set of all vertices  $w$  of  $T$  for which there is an arc from  $v$  to  $w$ . Let  $Z$  denote the set of all vertices  $z$  of  $T$  for which there is an arc from  $z$  to  $v$ . (Note that since  $T$  is a tournament  $W \cap Z = \emptyset$ .)

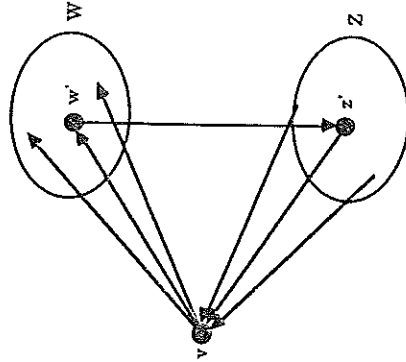


Figure 7.24

Then, since  $T$  is strongly connected,  $W$  and  $Z$  must both be nonempty. (For example, if  $W$  were empty, then there would be no arc going out of  $v$ , impossible because  $T$  is strongly connected). Moreover, again because  $T$  is strongly connected, there must be an arc in  $T$  going from some  $w'$  in  $W$  to some  $z'$  in  $Z$ . This gives the directed cycle  $vw'z'v$  of length 3. (See Figure 7.24.)

We now use induction to finish the proof. We suppose that  $T$  has a directed cycle  $C$  of length  $k$  where  $k < n$  (and  $k \geq 3$ ) and, using this, we prove that  $T$  has a directed cycle of length  $k + 1$ . Let  $C$  be given by

$$v_1 v_2 \dots v_k v_1.$$

Suppose that there is a vertex  $v$ , not on the cycle  $C$ , with the property that there is an arc from  $v$  to  $v_i$  and an arc from  $v_j$  to  $v$  for some  $v_i, v_j$  on  $C$ . Then there must be a vertex  $v_i$  on  $C$  with an arc from  $v_{i-1}$  to  $v$  and an arc from  $v$  to  $v_i$ . Then

$$C' = v_1 v_2 \dots v_{i-1} v v_i v_{i+1} \dots v_k v_1$$

is a directed cycle of length  $k+1$ , i.e., of the desired length. (See Figure 7.25.)

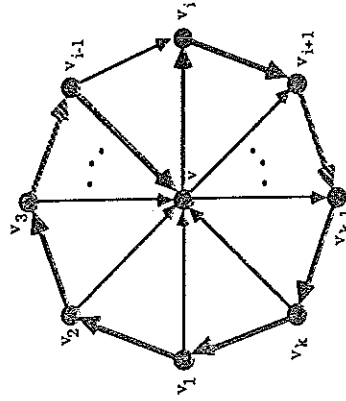


Figure 7.25

If no vertex exists with the above property, then the set of vertices not contained in the cycle can be divided into two distinct sets  $W$  and  $Z$ , where  $W$  is the set of vertices  $w$  such that for each  $i, 1 \leq i \leq k$ , there is an arc from  $v_i$  to  $w$ , and  $Z$  is the set of vertices  $z$  such that for each  $i, 1 \leq i \leq k$ , there is an arc from  $z$  to  $v_i$ . If  $W$  is empty then the vertices of  $C$  and the vertices of  $Z$  together make up all the vertices in  $T$ . However, by the definition of  $Z$  there is no arc from a vertex on  $C$  to a vertex in  $Z$ , a contradiction since  $T$  is strongly connected. Thus  $W$  must be nonempty. A similar argument shows that  $Z$  is nonempty. Again, since  $T$  is strongly connected, there must be an arc from some  $w'$  in  $W$  to some  $z'$  in  $Z$ . Then  $C' = v_1 w' z' v_2 v_3 \dots v_k v_1$  is a directed cycle of length  $k + 1$ , i.e., of the required length. (See Figure 7.26.) The proof is now complete by induction.  $\square$

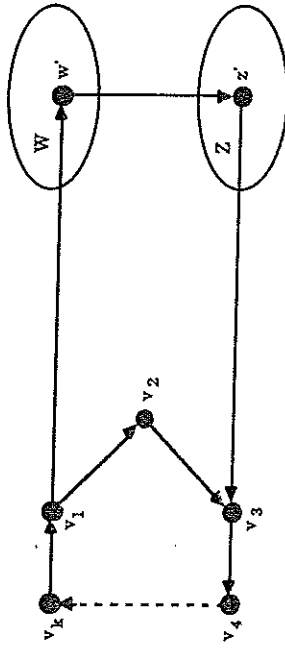


Figure 7.26

**Corollary 7.8** (Camion, 1959) *A tournament  $T$  is Hamiltonian if and only if it is strongly connected.*

**Proof** Suppose that  $T$  has  $n$  vertices. If  $T$  is strongly connected then, by the theorem,  $T$  must have a directed cycle of length  $n$ . Such a cycle is a directed Hamiltonian cycle since it includes every vertex of  $T$ . Hence  $T$  is Hamiltonian.

Conversely if  $T$  is Hamiltonian with directed Hamiltonian cycle  $C = v_1 v_2 \dots v_n v_1$ , then given any  $v_i, v_j$  in the vertex set of  $T$ , if  $i \geq j$  then  $v_j v_{j+1} \dots v_i$  is a directed path  $P_1$  from  $v_j$  to  $v_i$ , while  $v_i v_{i+1} \dots v_{n-1} v_n v_1 \dots v_{j-1} v_j$  is a directed path  $P_2$  from  $v_i$  to  $v_j$ . (See Figure 7.27.) Thus each vertex is reachable from any other vertex and so  $T$  is strongly connected, as required.  $\square$

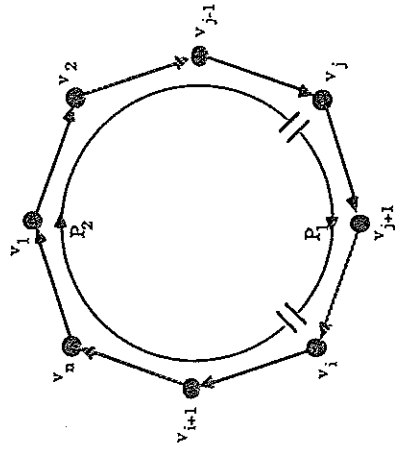


Figure 7.27

We finish this section by noting that strongly connected tournaments may be used to give a ranking of participants in a round-robin competition. In such a competition, there may be several players tied on first place (with the same maximum number

of wins). Similarly, there may be several players tied at the next level, and so on. One possible way of ranking the players is to find a directed Hamiltonian path — one exists by Theorem 7.6 — and then rank according to the position on the path. Unfortunately, this system of ranking can be very unfair since in general a tournament can have several directed Hamiltonian paths. However, provided there are at least four players in the competition and the corresponding tournament is strongly connected, there is a procedure that produces a fair ranking of all the players.

Briefly, the procedure is as follows. We first count the number of games won by each player and compare them. This is called the score of the player. (See also Exercise 7.3.6.) This gives us an initial ranking where there may be several players on any particular score. In order to distinguish between such players, we next consider the players' second level scores. Here a second level score of a player is the sum of the scores of the players she beat. The sequence of second level scores may still result in ties so we compute each player's third level score, i.e., the sum of the second level scores of the players she beat. Even if no ties occur among the second level scores there may appear to be an unfair rearranging of the players' relative positions and so the second level score sequence should be computed regardless.

We now continue the process, finding the fourth level scores, then the fifth level scores, and so on. But when do we stop? The answer to this question lies in matrix theory. Using convergence of matrices one can show (but we won't) that the  $n$ th level scores settle down to a fixed pattern after taking a high enough level provided the tournament in question is *strongly connected and has at least four vertices*. For further details and a worked example, we refer the reader to Section 10.7 of Bondy and Murty [7].

### Exercises for Section 7.3

7.3.1 In a digraph  $D$ , if there is a directed path from the vertex  $u$  to the vertex  $v$ , then the distance from  $u$  to  $v$ , denoted by  $d(u, v)$ , is defined to be the length of the shortest such path.

- (a) Prove that if  $u$ ,  $v$  and  $w$  are vertices in the digraph  $D$  such that  $w$  is reachable from  $v$  and  $v$  is reachable from  $u$  then  $w$  is reachable from  $u$  and  $d(u, w) \leq d(u, v) + d(v, w)$ .
- (b) Prove that if  $u$  and  $v$  are distinct vertices of a tournament  $T$  then  $d(u, v) \neq d(v, u)$ . What are the possible values of  $d(u, v)$ ?

7.3.2 Let  $T$  be a tournament on at least two vertices and let  $U$  be a proper subset of the vertex set of  $T$ . Let  $T - U$  denote the digraph obtained from  $D$  by deleting all vertices in  $U$  and all arcs having either an initial vertex or a terminal vertex in  $U$ . Show that  $T - U$  is a tournament.

7.3.3 (a) Prove that if five teams play in a round robin tournament then it is possible that all five teams tie for first place, i.e., all have the same number of wins (and losses).

### Section 7.3. Tournaments

(b) Prove that if six teams play in a round robin tournament then it is *not* possible that all six teams tie for first place.

(c) Prove that if  $n$  teams play in a round robin tournament (where  $n \geq 3$ ) then it is possible that all teams tie for first place if and only if  $n$  is odd.

7.3.4 Let  $T$  be any tournament. Prove that  $\bar{T}$ , the converse of  $T$ , and  $\bar{\bar{T}}$ , the complement of  $T$ , are isomorphic. (See Exercises 7.1.7 and 7.1.10 for definitions.)

7.3.5 A simple digraph  $D$  is called *transitive* if, whenever there is an arc in  $D$  from vertex  $u$  to vertex  $v$  and there is an arc from  $v$  to vertex  $w$ , then there is an arc from  $u$  to  $w$ .

(a) Prove that a tournament  $T$  is transitive if and only if it has a unique directed Hamiltonian path.

(b) Give an example of a tournament  $T$  on four vertices which is not transitive. Justify your example by showing that the transitive condition is not satisfied and also by showing that  $T$  has more than one directed Hamiltonian path.

(c) Give an example of a tournament  $T$  on four vertices which is transitive. What is the unique directed Hamiltonian path in your example  $T$ ?

(d) Prove that if a simple digraph  $D$  has a directed cycle of length three then it is not transitive. Is the converse to this true?

(e) Prove that a tournament  $T$  is transitive if and only if it has no directed cycles.

7.3.6 The score of a vertex  $v$  in a tournament  $T$  is defined to be its outdegree. (If  $T$  represents a round robin tournament and  $v$  a player in this tournament then  $v$ 's score is the number of games  $v$  has won.) If  $T$  has vertex set  $\{v_1, v_2, \dots, v_n\}$  where  $od(v_i) \leq od(v_2) \leq \dots \leq od(v_n)$  then the sequence  $(od(v_1), od(v_2), \dots, od(v_n))$  is called a *score sequence* of  $T$ .

(a) Find score sequences of the tournaments on four vertices in Figure 7.20 and the tournament on five vertices of Figure 7.21.

(b) Prove that if  $(s_1, \dots, s_n)$  is a score sequence of a tournament  $T$  then  $\sum_{i=1}^n s_i = n(n-1)/2$ .

(c) Draw a tournament with score sequence  $(0, 1, 2, 3, 4)$ .

(d) Is it possible for a tournament to have  $(3, 3, 3, 3, 3)$  as its score sequence?

(e) Prove that a tournament  $T$  on  $n$  vertices is transitive if and only if it has score sequence  $(0, 1, 2, \dots, n-1)$ .

### 7.4 Traffic Flow

One-way street assignment is often used by cities to help alleviate traffic flow problems. Given a street map of a city one may ask whether or not it is possible to make each street on the map a one-way street in such a way that one can still drive from many part of the city to any other part (obeying the one-way rules of course!). We may rephrase this question using a graph and an associated digraph as follows.

First construct a graph  $G$  in which each vertex represents a street intersection. Join two vertices  $x$  and  $y$  of  $G$  by an edge if it is possible to travel between  $x$  and  $y$  without passing through any other intersection. (If it is possible to make such a trip between  $x$  and  $y$  in several ways then there should be an edge corresponding to each of these ways.) The resulting graph  $G$  gives us, in effect, a street map of the city, albeit without the street names and possibly not to scale.

If we now want to make each of the streets a one-way street the this amounts to assigning a direction to each of the edges of  $G$ , i.e., orienting each edge of  $G$ . Doing this creates a digraph  $D$  which is an orientation of  $G$ . Our initial question now amounts to whether or not we can find such a digraph  $D$  in which every vertex  $x$  is reachable from every other vertex  $y$ .

A graph  $G$  is called orientable if it has a strongly connected orientation.

Clearly then our question asks if our graph  $G$  is orientable. To determine when a graph is orientable we first consider the graph  $G$  of Figure 7.28.

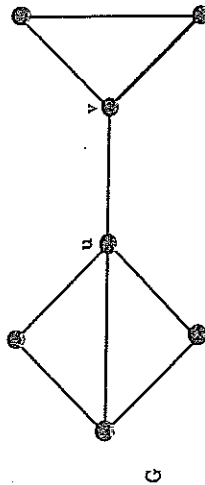


Figure 7.28

In any orientation of  $G$  the edge  $uv$  is oriented either from  $u$  to  $v$  or from  $v$  to  $u$ . If the former then there will be no  $v - u$  directed walk in the orientation while if the latter happens then, similarly,  $v$  will not be reachable from  $u$ . Thus in any event the orientation can not be strongly connected and so  $G$  is not orientable.

Of course the reason we are denied a strongly connected orientation here is because the edge  $uv$  is a bridge in  $G$ . It turns out that bridges are the key to orientability, as the following result, due to Robbins [54], shows.

**Theorem 7.9 (Robbins, 1939)** A graph  $G$  is orientable if and only if it is connected and has no bridges.

### Section 7.4. Traffic Flow

**Proof** Clearly if  $G$  is not connected then it has no strongly connected orientation. Moreover, if  $G$  has a bridge  $e = uv$ , then, as the argument above shows, in any orientation of  $G$  either  $u$  is not reachable from  $v$  or vice versa. This shows that if  $G$  is orientable then  $G$  is connected and has no bridges.

Conversely, suppose that  $G$  is connected and has no bridges. Any subgraph induced by a single vertex  $v$  of  $G$  is orientable, since if there are any loops incident with  $v$  then changing them to arcs produces a strongly connected digraph with  $v$  as the only vertex. Since this shows that  $G$  does have vertex induced orientable subgraphs we may now choose a largest possible subset  $U$  of the vertex set  $V$  of  $G$  such that the induced subgraph  $H = G[U]$  is orientable. If  $H = G$  then, of course,  $G$  is orientable and our goal is achieved.

Thus we are left to deal with the case when  $H \neq G$ , i.e.,  $U \neq V$ . In this case we choose  $u \in U, v \notin U$ , and, since  $H$  is orientable, we may orient the edges of  $H$  to get a strongly connected digraph  $D$ . Since  $G$  is connected and has no bridges it follows from Exercise 7.4.3 (see also Theorem 8.7 of the next chapter) that there are two edge-disjoint paths from  $u$  to  $v$  in  $G$  say

$$P = u_0v_1 \dots u_{m-1}v \text{ and } P' = v_0v_1 \dots v_{n-1}v,$$

where  $u_0 = v_0 = u$ . Since  $u \in H$  but  $v \notin H$ , there is a vertex  $u_i \in P$  which is the last vertex in  $P$  belonging to  $H$  and there is a vertex  $v_j \in P'$  which is the first vertex in  $P'$  belonging to  $H$ . Now let  $Q$  and  $Q'$  be those parts of  $P$  and  $P'$  which start at  $u_i$  and  $v_j$  respectively, i.e.,

$$Q = u_i u_{i+1} \dots u_{m-1}v \text{ and } Q' = v_j v_{j+1} \dots v_{n-1}v.$$

Orient the edges in  $Q$  from  $u_i$  to  $u_{i+1}$ , from  $u_{i+1}$  to  $u_{i+2}$ , and so on and orient the edges in  $Q'$  from  $v_j$  to  $v_{j+1}$ , from  $v_{j+1}$  to  $v_{j+2}$  and so on (so that the edges of  $Q'$  are oriented in reverse to the direction of the path). We illustrate this in Figure 7.29.

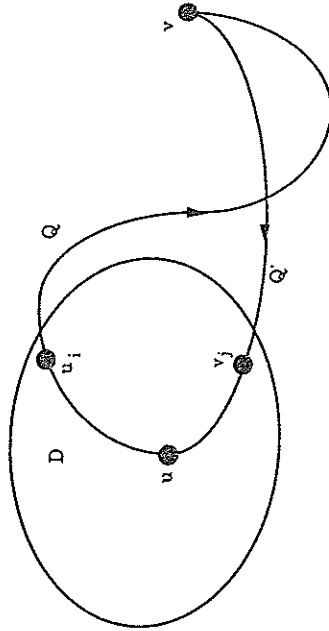


Figure 7.29

Now let  $D'$  be the digraph with vertex set  $U$  together with all the vertices of  $Q$  and  $Q'$  and with the arc set of  $D$  together with those arcs we have just defined on  $Q$  and  $Q'$ . Then, since  $D$  is strongly connected and the directed walk  $Q$  concatenates

with the reverse of  $Q'$  to give a directed walk from  $v_i$  to  $v_j$ ; it follows easily that  $D'$  is strongly connected.

However,  $D'$  has at least one more vertex than  $D$  has, namely  $v$ , and so this contradicts our choice of  $U$  as a largest possible subset of vertices of  $G$  which induces an orientable subgraph. This contradiction shows that  $H = G$  after all.  $\square$

We now present an algorithm due to J. E. Hopcroft and R. Tarjan [35] which produces a strongly connected orientation for a connected bridgeless graph. The algorithm is not based on the proof above and we leave its justification to Exercise 7.4.5. It uses a labelling technique.

### The Hopcroft and Tarjan algorithm

**Step 1.** Let  $G$  be a connected graph with no bridges.

Let  $x$  be an arbitrary vertex of  $G$  and label  $x$  by setting  $\lambda(x) = 1$ .

Set  $L = \{x\}$  and  $U = V(G) - \{x\}$ . (Here  $L$  denotes the set of labelled vertices while  $U$  denotes the set of unlabelled vertices.)

Set  $A = \emptyset$ . ( $A$  denotes the set of arcs produced by orienting edges of  $G$ .)

**Step 2.** Let  $v$  be a vertex in  $L$ , of highest possible label value, which is adjacent to some vertex  $u \in U$ . Set  $\lambda(u) = \lambda(v) + 1$ .

Replace  $L$  by  $L \cup \{u\}$  and  $U$  by  $U - \{u\}$  (since  $u$  has just been labelled).

Orient the edge  $vu$  from  $v$  to  $u$  and replace  $A$  by  $A \cup \{(v, u)\}$  (since there is now a new arc from  $v$  to  $u$ ). (Note that, in this process, each new arc of  $A$  goes from a labelled vertex to one with a higher label.)

**Step 3.** If  $L \neq V(G)$ , repeat Step 2.

**Step 4.** (When we reach this step we must have  $L = V(G)$ , i.e., every vertex of  $G$  has been labelled. Moreover the arc set  $A$  gives an underlying spanning tree of  $G$  and one can show that those edges of  $G$  not yet oriented join vertices having different label values.)

For each edge  $xy$  of  $G$  not yet oriented, if  $\lambda(x) > \lambda(y)$  then orient  $xy$  from  $x$  to  $y$ . (Since, as noted, the labels of  $x$  and  $y$  are different, this will always result in an orienting of the edge  $xy$ .)

This completes the orientation of  $G$ .

We now illustrate the algorithm with the connected bridgeless graph  $G$  of Figure 7.30.

**Step 1.** Choose  $v_1$  as the first vertex in the labelling process. Thus we set  $\lambda(v_1) = 1$ ,  $L = \{v_1\}$ ,  $U = V(G) - \{v_1\}$  and  $A = \emptyset$ .

**Step 2.** Choose  $v_2 \in U \cap N(v_1)$ . (Another possibility is  $v_7$ .) Set  $\lambda(v_2) = \lambda(v_1) + 1 = 2$ .

$L$  becomes  $\{v_1, v_2\}$  and  $U$  becomes  $\{v_3, \dots, v_8\}$ .

Orient the edge  $v_1v_2$  from  $v_1$  to  $v_2$  so that  $A$  becomes  $\{(v_1, v_2)\}$ .

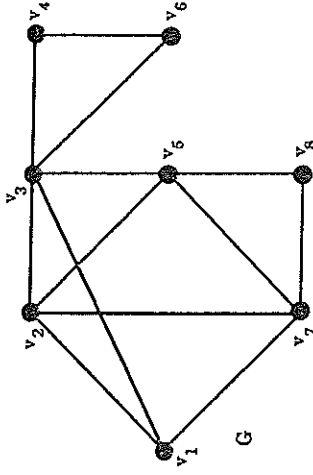


Figure 7.30: A connected graph with no bridges.

**Step 3.**  $L \neq V(G)$  so we return to step 2. (For the sake of brevity, we will omit all but the last of the subsequent step 3's.)

**Step 2.** Choose  $v_3 \in U \cap N(v_2)$ . (Other possible choices are  $v_5$  and  $v_7$ .) Set  $\lambda(v_3) = \lambda(v_2) + 1 = 3$ .

$L$  becomes  $\{v_1, v_2, v_3\}$  and  $U$  becomes  $\{v_4, \dots, v_8\}$ .

Orient the edge  $v_2v_3$  from  $v_2$  to  $v_3$  so that  $A$  becomes  $\{(v_1, v_2), (v_2, v_3)\}$ .

**Step 2.** Choose  $v_4 \in U \cap N(v_3)$ . (Other possible choices are  $v_5$  and  $v_6$ .) Set  $\lambda(v_4) = \lambda(v_3) + 1 = 4$ .

$L$  becomes  $\{v_1, \dots, v_4\}$  and  $U$  becomes  $\{v_5, \dots, v_8\}$ .

Orient the edge  $v_3v_4$  from  $v_3$  to  $v_4$  so that  $A$  becomes  $\{(v_1, v_2), (v_2, v_3), (v_3, v_4)\}$ .

**Step 2.** Choose  $v_6 \in U \cap N(v_4)$ . (There is no other choice.) Set  $\lambda(v_6) = \lambda(v_4) + 1 = 5$ .

$L$  becomes  $\{v_1, \dots, v_4, v_6\}$  and  $U$  becomes  $\{v_5, v_7, v_8\}$ .

Orient the edge  $v_4v_6$  from  $v_4$  to  $v_6$  so that  $A$  becomes

$$\{(v_1, v_2), (v_2, v_3), (v_3, v_4), (v_4, v_6)\}.$$

**Step 2.** Now  $v_3$  is the highest labelled vertex with an unlabelled neighbour. Choose  $v_5 \in U \cap N(v_3)$ . (There is no other choice.) Set  $\lambda(v_5) = \lambda(v_3) + 1 = 4$ .

$L$  becomes  $\{v_1, \dots, v_6\}$  and  $U$  becomes  $\{v_7, v_8\}$ .

Orient the edge  $v_3v_5$  from  $v_3$  to  $v_5$  so that  $A$  becomes

$$\{(v_1, v_2), (v_2, v_3), (v_3, v_4), (v_4, v_6), (v_3, v_5)\}.$$

**Step 2.** Now  $v_5$  is the highest labelled vertex with an unlabelled neighbour. Choose  $v_7 \in U \cap N(v_5)$ . (Another possible choice is  $v_8$ .) Set  $\lambda(v_7) = \lambda(v_5) + 1 = 5$ .

$L$  becomes  $\{v_1, \dots, v_7\}$  and  $U$  becomes  $\{v_8\}$ .

Orient the edge  $v_3v_7$  from  $v_3$  to  $v_7$  so that  $A$  becomes

$$\{(v_1, v_2), (v_2, v_3), (v_3, v_4), (v_4, v_6), (v_3, v_5), (v_5, v_7)\}.$$

Step 2. Choose  $v_8 \in U \cap N(v_7)$ . (There is no other choice.) Set  $\lambda(v_8) = \lambda(v_7) + 1 = 5$ .

$L$  becomes  $V(G)$  and  $U$  becomes the empty set.

Orient the edge  $v_7v_8$  from  $v_7$  to  $v_8$  so that  $A$  becomes

$$\{(v_1, v_2), (v_2, v_3), (v_3, v_4), (v_4, v_6), (v_3, v_5), (v_5, v_7), (v_7, v_8)\}.$$

At this stage our (incomplete) orientation of  $G$  is as shown in Figure 7.31, together with the labels assigned to the vertices of  $G$ .

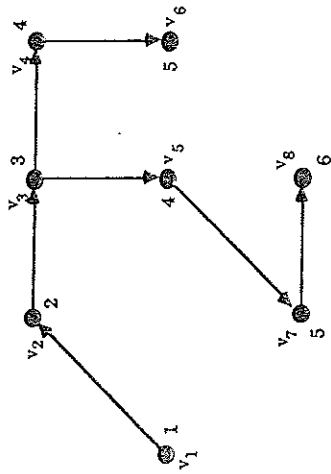


Figure 7.31: A partial orientation of the graph  $G$  of Figure 7.28.

Step 3. We now have  $L = V(G)$ .

Step 4. The edges of  $G$  not yet oriented are  $v_1v_3, v_1v_7, v_2v_5, v_2v_7, v_3v_6$  and  $v_6v_8$ . These are each oriented from the vertex with the higher label to the vertex with the lower label.

This completes the orientation of  $G$ , giving the strongly connected digraph shown in Figure 7.32.

**Exercises for Section 7.4**

7.4.1 Let  $G$  be a Hamiltonian graph. Prove that  $G$  is orientable without using Theorem 7.9.

7.4.2 Give a simple construction of a strongly connected orientation for each complete graph with at least three vertices and also for each complete bipartite graph which is not a star graph.

**Section 7.4. Traffic Flow**

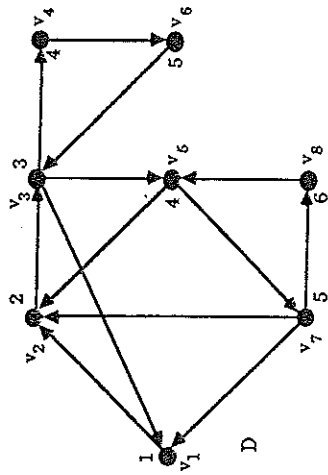


Figure 7.32: A strongly connected orientation of  $G$ .

7.4.3 Prove that if  $G$  is a connected graph with no bridges then between any two distinct vertices  $u$  and  $v$  of  $G$  there are two edge-disjoint paths.

7.4.4 Using the Hopcroft and Tarjan algorithm, find a strongly connected orientation for the graphs of Figure 7.33.

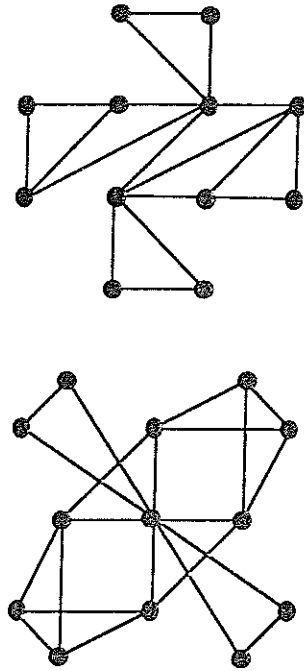


Figure 7.33: Orientable graphs.

7.4.5 This exercise sketches a proof that the Hopcroft and Tarjan algorithm does produce a strongly connected orientation for the connected bridgeless graph  $G$ .

- (a) Prove that, once all the vertices of  $G$  have been labelled in the algorithm, the arc set  $A$  gives an underlying spanning tree  $T$  of  $G$ .
- (b) Prove that no arc in the set  $A$  joins two vertices having the same label value.
- (c) We will say that a vertex  $a$  is an ancestor of a vertex  $b$  in the digraph  $D$  finally produced by the algorithm if there is a directed path from  $a$  to  $b$  involving only arcs from the set  $A$ . Prove that  $x$ , the first vertex to be labelled, is an ancestor of every vertex.



Chapter 7. Directed Graphs

- (d) Let  $u$  be a vertex in  $D$  different from  $x$  and let  $v$  be the unique vertex in  $D$  such that  $(v, u)$  is an arc in  $A$ . Let  $T'$  be the subtree of  $T$  induced by all directed paths in  $T$  having  $u$  as their initial vertex, i.e., induced by  $u$  and all those vertices having  $u$  as an ancestor. Using the fact that the edge  $vu$  is not a bridge in  $G$ ; show that there must be an edge in  $G$  joining some vertex  $w$  in  $T'$  to a vertex  $y$  not in  $T'$ . Prove also that this edge  $wy$  is oriented from  $w$  to  $y$  in  $D$  and that  $y$  is an ancestor of  $u$ .
- (e) Prove that if  $u$  is a vertex in  $D$  different from  $x$  then it has a reachable ancestor.
- (f) Prove that  $x$  is reachable from every other vertex of  $D$  and hence that  $D$  is strongly connected.

# Chapter 8 Networks

## 8.1 Flows and Cuts

A manufacturer in New Zealand wants to export several boxes of one of his products, clockwork kiwifruit, to a department store in Taiwan. There are various channels through which the boxes can be sent and the digraph of Figure 8.1 represents these, with vertex  $s$  as the manufacturer and  $t$  the department store. The numbers assigned to each arc represent the maximum loads which each of the corresponding channels can handle.

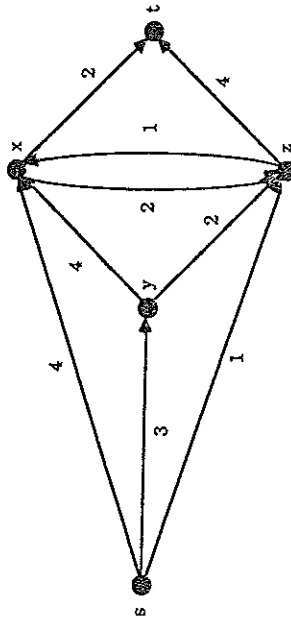


Figure 8.1: A clockwork kiwifruit export network.

The manufacturer wishes to find the maximum number of boxes he can send through the network of channels and "middle men"  $x$ ,  $y$  and  $z$  to  $t$  so that he never exceeds the permitted capacity of any channel.

Using this example as motivation we now define the concept of a network.

A network  $N$  is a weakly connected simple digraph in which every arc  $a$  of  $N$  has been assigned a non-negative integer  $c(a)$ , called the capacity of  $a$ .  
 A vertex  $s$  of a network  $N$  is called a source if it has indegree 0 while a vertex  $t$  of  $N$  is called a sink if it has outdegree 0. Any other vertex of  $N$  is called an intermediate vertex.