# Schema Mappings and Data Examples 

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## The Data Interoperability Challenge

- Data may be
- distributed at several different locations.
- heterogeneous in representation (relational, JSON, ...).
- How can we uniformly access and manipulate data from these data sources?
- Two main approaches:

1. Data integration
2. Data exchange

## Data Integration



Data Sources

## Data Exchange



- Transform data structured under a source schema into data structured under a different target schema.
- Query heterogeneous data in different sources via the target schema.


## Key challenge behind Data Interoperability

- Key challenge behind data integration or data exchange: specify the relationships between schemas.
- The relationships between schemas are typically specified as data transformations.
- Data transformation: (S, T, ६)
- Source schema S, target schema T.
- $\xi$ specifies how an instance that conforms to $\mathbf{S}$ is to be transformed to an instance that conforms to $\mathbf{T}$.
- Deriving a correct data transformation can be a difficult task.
- Schemas can be large and complex.


## Schemas can be large and complex



## Specifying a data transformation

- Data transformations can be specified:
- directly as executable code in some programming language. E.g., SQL, Java, or Pig.
- Time-consuming, costly.
- through a visual interface, where executable code can be generated from the visual specification.


## A visual specification



Screenshot from Bernstein and Haas 2008 CACM article.
"Information Integration in the Enterprise"

## Basic architecture behind

 "mapping systems"

Altova Mapforce Stylus Studio MS Biztalk Mapper

Code generation


## Problems?

- (Generated) executable code of different runtime platforms tends to be complex and difficult to reason about.
- Need for higher-level abstraction of data transformations.
- Independent of different runtime platforms.
- Specify what is the relationship between the source and target schema instead of how data is transformed from the source to the target.


## Schema Mapping



- Schema Mapping $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$
- Source schema S, Target schema T
- High-level, declarative assertions $\Sigma$ that specify the relationship between $\mathbf{S}$ and $\mathbf{T}$.
- Typically, $\Sigma$ is a finite set of formulas in some suitable logical formalism (much more on this later).
- Schema mappings are the essential building blocks in formalizing data integration and data exchange.


## Schema-Mapping Specification Languages

- Question:

What is a good language for specifying schema mappings?

- Preliminary Attempt:

Use a logic-based language to specify schema mappings. In particular, use first-order logic.

- Warning:

Unrestricted use of first-order logic as a schema-mapping specification language gives rise to undecidability of basic algorithmic problems about schema mappings.

## Schema-Mapping <br> Specification Languages

Let us consider some simple tasks that every schema-mapping specification language should support:

- Copy (Nicknaming):
- Copy each source table to a target table and rename it.
- Projection:
- Form a target table by projecting on one or more columns of a source table.
- Column Augmentation:
- Form a target table by adding one or more columns to a source table.
- Decomposition:
- Decompose a source table into two or more target tables.
- Join:
- Form a target table by joining two or more source tables.
- Combinations of the above (e.g., join + column augmentation)


## Schema-Mapping <br> Specification Languages

- Copy (Nicknaming): $\forall \mathrm{x}_{1}, \ldots, \mathrm{x}_{\mathrm{n}}\left(\mathrm{P}\left(\mathrm{x}_{1}, \ldots, \mathrm{x}_{\mathrm{n}}\right) \rightarrow \mathrm{R}\left(\mathrm{x}_{1}, \ldots, \mathrm{x}_{\mathrm{n}}\right)\right)$
- Projection: $\forall x, y, z(P(x, y, z) \rightarrow R(x, y))$
- Column Augmentation: $\forall x, y(P(x, y) \rightarrow \exists z R(x, y, z))$
- Decomposition: $\forall x, y, z(P(x, y, z) \rightarrow R(x, y) \wedge T(y, z))$
- Join: $\forall x, y, z(E(x, z) \wedge F(z, y) \rightarrow R(x, z, y))$
- Combinations of the above (e.g., join + column augmentation $+\ldots$...)
- $\forall x, y, z(E(x, z) \wedge F(z, y) \rightarrow \exists w(R(x, y) \wedge T(x, y, z, w)))$


## Language for specifying Schema Mappings

All preceding tasks can be specified using:
Source-to-target tuple generating dependencies (s-t tgds):
$\forall \mathbf{x}\left(\phi_{\mathrm{s}}(\mathbf{x}) \rightarrow \exists \mathbf{y} \psi_{\mathrm{T}}(\mathbf{x}, \mathbf{y})\right)$

- $\phi_{s}(\mathbf{x})$ is a conjunction of atoms over the source schema.
- $\psi_{T}(\mathbf{x}, \mathbf{y})$ is a conjunction of atoms over the target schema.


## Example

S = Student(studentid), Enrolls(studentid, courseid)
T = Grade(studentid, courseid, grade),
Teaches(instructerid, courseid)
$\forall \mathrm{s} \forall \mathrm{c}$ Student(s) $\wedge \operatorname{Enrolls(s,c)~} \rightarrow \exists \mathrm{g}$ Grade( $\mathrm{s}, \mathrm{c}, \mathrm{g}))$
$\forall s \forall c$ Student $(\mathrm{s}) \wedge \operatorname{Enrolls(s,c)} \rightarrow \exists \mathrm{t} \exists \mathrm{g}($ Teaches $(\mathrm{t}, \mathrm{c}) \wedge$ Grade(s,c,g)))

> We omit all universal quantifiers for the rest of this talk.

## s-t tgds

- Widely used for relational schema mappings in data exchange and data integration.
- s-t tgds are also known as Global-Local-As-View (GLAV) constraints. They contain:
- Local-As-View (LAV) constraints
- Global-As-View (GAV) constraints
as special cases.


## GLAV, GAV, LAV Schema Mappings

GAV mappings: $\phi_{s}(\mathbf{x}) \rightarrow R(\mathbf{x})$

- $\phi_{S}(\mathbf{x})$ is a conjunction of atoms over the source schema.
- $R(x)$ is an atom of the target schema.

Example: Copy, Projection, Join, ...
LAV mappings: $\mathrm{R}(\mathbf{x}) \rightarrow \exists \mathbf{y} \psi_{\mathrm{T}}(\mathbf{x}, \mathbf{y})$

- $R(x)$ is an atom of the source schema.
- $\psi_{T}(\mathbf{x}, \mathbf{y})$ is a conjunction of atoms over the target schema.

Example: Copy, Decomposition, Add an attribute to a relation

## Basic architecture behind

## "mapping systems"



Altova Mapforce Stylus Studio
MS Biztalk Mapper
Code generation


## Basic architecture behind schema-mapping design systems

| Extension of s-t tgds to |
| :--- |
| handle data exchange of |
| hierarchical data (e.g., |
| Popa et al. 2002 |
| "Translating Web Data"). |



Schema mappings


## A visual specification

- How can we understand what gets generated from this?



## Schema Mappings

- To understand the precise semantics of what gets generated, the user will have to inspect the generated schema mapping or executable code.
- However, schema mappings and executable code can be complex ...


## Schema Mappings (one of several pages)

Map 2:
for $s m 2 x 0$ in $S 0$. dummy_COUNTRY_ 4
exists tm $2 \times 0$ in S27.dūmmy_couñtry_10, tm $2 \times 1$ in S27.dummy_organiza_13
where $t m 2 x 0$.country.membership=tm $2 \times 1$.organization.id,
satisf sm $2 x 0$.COUNTRY.AREA=tm $2 x \odot$.country.area, sm $2 x \odot$.COUNTRY.CAPITAL=tm $2 x 0 . c o u n t r y . c a p i t a l$, sm2x@.COUNTRY.CODE=tm2x@.country.id, sm $2 x \odot$.COUNTRY.NAME=tm $2 \times 0$. count ry.name, sm2x0.COUNTRY.POPULATION=tm2x0.country.population, (
Map 3:
for sm3x0 in S0.dummy_GEO_RIVE_23, sm3x1 in S0.dummy_RIVER_24, sm $3 \times 2$ in S0.dummy_PROVINCE_5
where $s m 3 \times 0 . G E O$ RIVER.RIVER=sm $\overline{3} \times 1$.RIVER.NAME, $s m 3 \times 2$.PROVINCE.NAME=sm3x@.GEO_RIVER.PROVINCE, $\operatorname{sm} 3 \times 2 . \mathrm{PROVIN} C E$. COUNTRY $=s m 2 \times 0$. COUNTRY.CODE ,
exists tm3x0 in S27.dummy_river_24, tm $3 \times 1$ in tm3x0.river.dummy located 23
tm $3 \times 4$ in S27.dummy_count $\bar{r} y \_10$, $\mathrm{tm} 3 \times 5$ in $\mathrm{tm} 3 \times 4$.country.dummy_province_9,
tm3x6 in S27.dummy_organiza 13
where $t m 3 \times 4 . c o u n t r y . m e m b e \bar{r} s h i p=t m 3 \bar{x} 6 . o r g a n i z a t i o n . i d, t m 3 \times 5 . p r o v i n c e . i d=t m 3 \times 1 . l o c a t e d . p r o v i n c e$,
tm $2 \times 0$. country.id=tm $3 \times 1$. located.country,
satisf $s m 2 \times 0 . C O U N T R Y . A R E A=t m 3 \times 4$.country.area, $s m 2 \times 0$. COUNTRY. CAPITAL=tm $3 \times 4 . c o u n t r y . c a p i t a l$, sm $2 \times 0$. COUNTRY. CODE $=$ tm $3 \times 4$. country.id, $s m 2 x 0$. COUNTRY. NAME $=$ tm $3 \times 4$.count ry.name sm $2 \times 0$. COUNTRY. POPULATION $=\operatorname{tm} 3 \times 4$. count ry. population, $s m 3 \times 1$. RIVER.LENGTH=tm3x@.river. length, sm $3 \times 0$. GEO RIVER.COUNTRY=tm $3 \times 1$. located.country, sm $3 \times 0$. GEO_RIVER.PROVINCE=tm $3 \times 1$. located.province, sm $3 \times 1$. RIVER.NAME=tm3x0.river.name ), (
Map 4:
for $s m 4 x 0$ in $\operatorname{So}$. dummy_GEO_ISLA 25, sm $4 \times 1$ in S0.dummy_ISLAND_26, sm $4 \times 2$ in SO. dummy PROVINCE_ 5
where $s m 4 \times 0 . G E O \_I S L A N D$.ISLAND $=s m 4 \times 1$.ISLAND.NAME, $s m 4 \times 2$.PROVINCE. NAME $=s m 4 \times 0 . G E O \_I S L A N D . P R O V I N C E$, sm $4 \times 2$. PROVINCE.COUNTRY $=s m 2 \times 0$. COUNTRY.CODE ,
exists tm4x0 in S27.dummy_island_26, tm4x1 in tm4x0.island.dummy_located_25, tm4x4 in S27.dummy_country_10, tm4x5 in tm4x4.country.dummy_province_9, tm4x6 in S27.dummy organiza 13
where $t m 4 \times 4 . c o u n t r y . m e m b e r s h i p=\bar{t} m 4 \times 6 . o r g a n i z a t i o n . i d, t m 4 \times 5 . p r o v i n c e . i d=t m 4 \times 1 . l o c a t e d . p r o v i n c e$, tm $2 \times 0 . c o u n t r y . i d=t m 4 \times 1$. located.country,
satisf $s m 2 x 0 . C O U N T R Y . A R E A=t m 4 \times 4$.country.area, $s m 2 x 0 . C O U N T R Y$.CAPITAL=tm4x4.country.capital, sm $2 \times 0$. COUNTRY. CODE $=$ tm $4 \times 4$. count ry.id, $s m 2 \times 0$. COUNTRY. NAME $=t m 4 \times 4$.count ry.name, $s m 2 x 0$. COUNTRY.POPULATION $=t m 4 \times 4$.country.population, $s m 4 \times 1$. ISLAND. AREA $=$ tm $4 \times 0$.island.area $s m 4 \times 1$.ISLAND.COORDINATESLAT=tm $4 \times 0$. island. latitude, sm4x0.GEO ISLAND.COUNTRY=tm $4 \times 1 . l o c a t e d . c o u n t r y$, sm $4 \times 0$. GEO ISLAND.PROVINCE=tm $4 \times 1$. located.province, $s m 4 \times 1$. ISLAND. COORDINATESLONG $=t m 4 \times 0$. island. 2 ongitude sm $4 \times 1$. ISLAND. NAME $=$ tm $4 \times 0$.island. name ) , (
Map 5:
for sm5x0 in S0.dummy_GEO_SEA_19, sm5x1 in S0.dummy_SEA_20, sm $5 \times 2$ in SO. dummy PROVINCE 5
where $s m 5 \times 2$. PROVINCE. $\bar{N} A M E=s m 5 x \overline{0}$.GEO_SEA.PROVINCE, sm5x@.GEO_SEA.SEA=sm $5 \times 1$. SEA.NAME , sm5x2. PROVINCE. COUNTRY $=$ Sm $2 \times 0$. COUNTRY'. CODE
exists tm5x0 in S27.dummy_sea_19, tm5x1 in tm5x0.sea.dummy_located_18,
tm5x4 in S27.dummy_country_10, tm5x5 in tm5x4.country.dummy_province_9, tm5x6 in S27.dummy_organiza_13
where $t m 5 \times 4 . c o u n t r y . m e m b e r s h i p=t m 5 \times 6 . o r g a n i z a t i o n . i d, t m 5 \times 5 . p r o v i n c e . i d=t m 5 \times 1 . l o c a t e d . p r o v i n c e$, tm2x@. country.id=tm5x1.located.country,
satisf sm2x0.COUNTRY.AREA=tm5x4.country.area, sm2x0.COUNTRY.CAPITAL=tm5x4.country.capital, sm2x@.COUNTRY.CODE=tm5x4.country.id, sm2x0.COUNTRY.NAME=tm5x4.country.name, sm2x0.COUNTRY.POPULATION=tm5x4.country.population, sm5x1.SEA.DEPTH=tm5x0.sea.depth, sm5x0.GEO_SEA.COUNTRY=tm5x1.located.country, sm5x0.GEO_SEA.PROVINCE=tm5x1.located.province, sm5x1.SEA.NAME=tm5x0.sea.name ),(

## Schema mappings can be complex

- Additional tools are needed (beyond the inspection of the visual specification and code) to design, understand, and refine schema mappings.
- Idea: Use "good" data examples.
- Analogous to using test cases in understanding/debugging programs.
- Earlier work by the database community includes:
- Yan, Miller, Haas, Fagin - 2001
"Understanding and Refinement of Schema Mappings"
- Gottlob, Senellart - 2008
"Schema mapping discovery from data instances"
- Olston, Chopra, Srivastava - 2009
"Generating Example Data for Dataflow Programs".


## The rest of this tutorial

Schema Mappings and Data Examples:

- Develop a framework for the systematic use of data examples for designing schema mappings.
- Understand both the capabilities and limitations of data examples in capturing, deriving, and designing schema mappings.


## Roadmap for tutorial

First half of tutorial:
$\boldsymbol{\checkmark}$ - Background and Motivation

- Semantics of Schema Mappings
- From Schema Mappings to Data Examples

Second half of tutorial:

- From Data Examples to Schema Mappings
- The Eirene and Muse Systems
- Gottlob and Senellart's framework for discovering schema mappings
- Learning schema mappings

Schema Mappings and
Data Examples

EDBT 2013 Tutorial
Genoa, Italy
March 21, 2013

## Schema Mappings



- Schema Mapping $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \boldsymbol{\Sigma})$
- Source schema S, Target schema $\mathbf{T}$
- High-level, declarative constraints $\Sigma$ that specify the relationship between $\mathbf{S}$ and $\mathbf{T}$.
- GLAV Schema Mapping $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$
- $\quad \Sigma$ is a finite set of GLAV constraints (s-t tgds)
- GAV and LAV Schema Mappings defined in a similar way.


## Semantics of Schema Mappings


$\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ a GLAV schema mapping.

- Such a schema mapping $\mathbf{M}$ is a syntactic object.
- From a semantic point of view, $\mathbf{M}$ can be identified with the set of all positive data examples for $\mathbf{M}$, i.e., all data examples that satisfy (the constraints of) $\mathbf{M}$.


## Data Examples


$\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ a GLAV schema mapping

- Data Example: A pair $(\mathrm{I}, \mathrm{J})$ where I is a source instance and J is a target instance.
- Positive Data Example for M:
- A data example ( $\mathrm{I}, \mathrm{J}$ ) that satisfies $\Sigma$, i.e., $(\mathrm{I}, \mathrm{J}) \vDash \Sigma$
- In this case, we say that J is a solution for I w.r.t. M.


## Data Examples

- Consider the schema mapping $\mathbf{M}=(\{E\},\{F\}, \Sigma)$, where

$$
\Sigma=\{E(x, y) \rightarrow \exists z(F(x, z) \wedge F(z, y))\}
$$

- Positive Data Examples (I,J) (J a solution for I w.r.t. M)
- $I=\{E(1,2)\} \quad J=\{F(1,3), F(3,2)\}$
- $I=\{E(1,2)\} \quad J=\{F(1, X), F(X, 2)\}$
- $I=\{E(1,2)\} \quad J=\{F(1,3), F(3,2), F(3,4)\}$
- $I=\{E(1,2), E(3,4)\} J=\{F(1,3), F(3,2), F(3, Y), F(Y, 4)\}$ $X$ and $Y$ are labelled nulls
- Negative Data Examples (I,J) (J not a solution for I w.r.t. M)
- $I=\{E(1,2)\} \quad J=\{F(1,3)\}$
- $I=\{E(1,2)\} \quad J=\{F(1,3), F(4,2)\}$


## Schema Mappings and Data Examples

- $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ GLAV schema mapping
- $\operatorname{Sem}(\mathbf{M})=\{(\mathrm{I}, \mathrm{J}):(\mathrm{I}, \mathrm{J})$ is a positive data example for $\mathbf{M}\}$

Fact: $\operatorname{Sem}(\mathbf{M})$ is an infinite set

## Reason:

If ( $\mathrm{I}, \mathrm{J}$ ) is a positive data example for $\mathbf{M}$ and if $\mathbf{J} \subseteq \mathrm{J}^{\prime}$, then $\left(I, J^{\prime}\right)$ is a positive data example for $\mathbf{M}$.

## Question:

Can M be "characterized" using finitely many data examples?

## Goals

- Formalize what it means for a schema mapping to be "characterized" using finitely many data examples.
- Obtain technical results that shed light on both the capabilities and limitations of data examples in characterizing schema mappings.


## Types of Data Examples

$\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ a GLAV schema mapping
So far, we have encountered two types of examples:

- Positive Data Example:

A data example ( $\mathrm{I}, \mathrm{J}$ ) such that ( $\mathrm{I}, \mathrm{J}$ ) satisfies $\Sigma$, i.e., a J is a solution for I w.r.t. M.

- Negative Data Example:

A data example ( $\mathrm{I}, \mathrm{J}$ ) such that $(\mathrm{I}, \mathrm{J})$ does not satisfy $\Sigma$, i.e., J is not a solution for I w.r.t. M.

A third type of example will play an important role here:

- Universal Data Example:

A data example $(\mathrm{I}, \mathrm{J})$ such that J is a universal solution for I w.r.t. M.

## Universal Solutions

Definition: $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ schema mapping, I source instance. A target instance J is a universal solution for I w.r.t. M if

- J is a solution for I w.r.t. M.
- If $J^{\prime}$ is a solution for I w.r.t. $M$, then there is a homomorphism $\mathrm{h}: \mathrm{J} \rightarrow \mathrm{J}$ that is constant on adom(I), which means that:
- If $P\left(a_{1}, \ldots, a_{k}\right) \in J$, then $P\left(h\left(a_{1}\right), \ldots h\left(a_{k}\right)\right) \in J^{\prime}$
(h preserves facts)
- $h(c)=c$, for $c \in \operatorname{adom}(I)$.

Note: Intuitively, a universal solution for I is a most general (= least specific) solution for I.

## Universal Solutions in Data Exchange



## Universal Solutions and Examples

- Consider the schema mapping $\mathbf{M}=(\{E\},\{F\}, \Sigma)$, where

$$
\Sigma=\{E(x, y) \rightarrow \exists z(F(x, z) \wedge F(z, y))\}
$$

- Source instance I = \{E(1,2) \}
- Solutions for I :
- $J_{1}=\{F(1,2), F(2,2)\}$
- $J_{2}=\{F(1, X), F(X, 2)\}$
- $J_{3}=\{F(1, X), F(X, 2), F(1, Y), F(Y, 2)\}\left(I, J_{3}\right)$ universal (and positive)
- $J_{4}=\{F(1, X), F(X, 2), F(3,3)\}$
$\left(\mathrm{I}, \mathrm{J}_{4}\right)$ positive, not universal (where $X$ and $Y$ are labeled null values)


## Universal Solutions and Schema Mappings

Note: A key property of GLAV schema mappings is the existence of universal solutions.

Theorem (FKMP 2003) $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ a GLAV schema mapping.

- Every source instance I has a universal solution J w.r.t. M,
- Moreover, the chase procedure can be used to construct, given a source instance I, a canonical universal solution chase $_{\mathrm{M}}(\mathrm{I})$ for I in polynomial time.

Note: Universal solutions have become the preferred semantics in data exchange (the preferred solutions to materialize).

## The Chase Procedure

Chase Procedure for GLAV $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ : Given a source instance I, build a target instance chase ${ }_{M}(\mathrm{I})$ that satisfies every s-t tgd in $\Sigma$ as follows.

Whenever the LHS of some s-t tgd in $\Sigma$ evaluates to true:

- Introduce new facts in chase ${ }_{M}(\mathrm{I})$ as dictated by the RHS of the s-t tgd.
- In these facts, each time existential quantifiers need witnesses, introduce new variables (labeled nulls) as values.


## The Chase Procedure

Example: Transforming edges to paths of length 2

$$
\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma) \text { schema mapping with }
$$

$$
\Sigma: \forall x \forall y(E(x, y) \rightarrow \exists z(F(x, z) \wedge F(z, y)))
$$

The chase returns a relation obtained from E by adding a new node between every edge of $E$.

- If $I=\{E(1,2)\}$, then chase $_{M}(I)=\{F(1, X), F(X, 2)\}$
- If $I=\{E(1,2), E(2,3), E(1,4)\}$, then chase $_{M}(I)=\{F(1, X), F(X, 2), F(2, Y), F(Y, 3), F(1, Z), F(Z, 4)\}$


## The Chase Procedure

Example: Collapsing paths of length 2 to edges

$$
\begin{aligned}
& \mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma) \quad \mathrm{GAV} \text { schema mapping with } \\
& \Sigma: \quad \forall \mathrm{x} \forall \mathrm{y} \forall \mathrm{z}(\mathrm{E}(\mathrm{x}, \mathrm{z}) \wedge \mathrm{E}(\mathrm{z}, \mathrm{y}) \rightarrow \mathrm{F}(\mathrm{x}, \mathrm{y}))
\end{aligned}
$$

- If $I=\{E(1,3), E(2,4), E(3,4)\}$, then chase $_{M}(I)=\{F(1,4)\}$.
- If $I=\{E(1,3), E(2,4), E(3,4), E(4,3)\}$, then chase $_{M}(I)=\{F(1,4), F(2,3), F(3,3), F(4,4)\}$.

Note: No new variables are introduced in the GAV case.

## Characterizing Schema Mappings

- $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ GLAV schema mapping
- $\operatorname{Sem}(\mathbf{M})=\{(\mathrm{I}, \mathrm{J}):(\mathrm{I}, \mathrm{J})$ is a positive data example for $\mathbf{M}\}$


## Question:

Can $\mathbf{M}$ be "characterized" using finitely many data examples?

More formally, this asks:
Is there is a finite set $\boldsymbol{D}$ of data examples such that $\mathbf{M}$ is the only (up to logical equivalence) schema mapping for which every example in $\boldsymbol{D}$ is of the same type as it is for $\mathbf{M}$ ?

## Warm-up: The Copy Schema Mapping

Let $\mathbf{M}$ be the binary copy schema mapping specified by the constraint

$$
\forall x \forall y(E(x, y) \rightarrow F(x, y)) .
$$

Question: Which is the "most representative" data example for $\mathbf{M}$, hence a good candidate for "characterizing" it?

Intuitive Answer: $\left(\mathrm{I}_{1}, \mathrm{~J}_{1}\right)$ with $\mathrm{I}_{1}=\{\mathrm{E}(\mathrm{a}, \mathrm{b})\}, \mathrm{J}_{1}=\{\mathrm{F}(\mathrm{a}, \mathrm{b})\}$
Facts: It will turn out that:

- ( $\mathrm{I}_{1}, \mathrm{~J}_{1}$ ) "characterizes" M among all LAV schema mappings.
- ( $\mathrm{I}_{1}, \mathrm{~J}_{1}$ ) does not "characterize" $\mathbf{M}$ among all GLAV schema mappings; in fact, not even among all GAV schema mappings.
Reason: ( $I_{1}, J_{1}$ ) is also a universal example for the GAV schema mapping specified by $\forall x \forall y \forall u \forall v(E(x, y) \wedge E(u, v) \rightarrow F(x, v))$.


## Notions of Unique Characterizability

Definition: $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ a GLAV schema mapping, $\boldsymbol{C}$ a class of GLAV constraints.

- Let $\mathbf{P}$ and $\mathbf{N}$ be two finite sets of positive and negative examples for $\mathbf{M}$. We say that $\mathbf{P}$ and $\mathbf{N}$ uniquely characterize $\mathbf{M}$ w.r.t. $\boldsymbol{C}$ if for every finite set $\Sigma^{\prime} \subseteq \boldsymbol{C}$ such that $\mathbf{P}$ and $\mathbf{N}$ are sets of positive and negative examples for $\mathbf{M}^{\prime}=\left(\mathbf{S}, \mathbf{T}, \Sigma^{\prime}\right)$, we have that $\Sigma \equiv \Sigma^{\prime}$.
- Let $\mathbf{U}$ be a finite set of universal examples for $\mathbf{M}$.

We say that $\mathbf{U}$ uniquely characterizes $\mathbf{M}$ w.r.t. $\boldsymbol{C}$ if
for every finite set $\Sigma^{\prime} \subseteq \boldsymbol{C}$ such that $\mathbf{U}$ is a set of universal
examples for $\mathbf{M}^{\prime}=\left(\mathbf{S}, \mathbf{T}, \Sigma^{\prime}\right)$, we have that $\Sigma \equiv \Sigma^{\prime}$.

## Relationships between Unique Characterizability Notions

Proposition: $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ a GLAV schema mapping, $\boldsymbol{C}$ a class of GLAV constraints.
If $\mathbf{M}$ is uniquely characterizable w.r.t. $\boldsymbol{C}$ by two finite sets of positive and negative examples, then $\mathbf{M}$ is also uniquely characterizable w.r.t. $\boldsymbol{C}$ by a finite set of universal examples.
Proof Idea: Uniquely characterizing
positive examples: $\left(\mathrm{I}^{+}{ }_{1}, \mathrm{~J}^{+}{ }_{1}\right),\left(\mathrm{I}^{+}{ }_{2}, \mathrm{~J}^{+} 2\right), \ldots$ and
negative examples: $\left(\mathrm{I}^{-} 1, \mathrm{~J}^{-} 1\right),\left(\mathrm{I}^{-} 2, \mathrm{~J}^{-} 2\right), \ldots$
give rise to uniquely characterizing
universal examples: ( $\mathrm{I}^{+}{ }_{1}$, chase $\left._{\mathbf{M}}\left(\mathrm{I}^{+}{ }_{1}\right)\right)$, $\left(\mathrm{I}^{+}{ }^{2}\right.$, chase $\left._{\mathbf{M}}\left(\mathrm{I}^{+} 2\right)\right), \ldots$
$\left(\mathrm{I}^{-} 1\right.$, chase $_{\mathrm{M}}\left(\mathrm{I}^{-} 1\right),\left(\mathrm{I}^{+}{ }_{2}, \operatorname{chase}_{\mathrm{M}}\left(\mathrm{I}^{+} 2\right)\right), \ldots$

## Relationships between Unique Characterizability Notions

- So, unique characterizability via positive and negative examples implies unique characterizability via universal examples.
- The converse, however, is not always true.
- For this reason, we will focus on unique characterizability via universal examples.


## Unique Characterizations via Universal Examples

## Reminder -

Definition: Let $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ be a GLAV schema mapping.

- A universal example for $\mathbf{M}$ is a data example $(I, J)$ such that J is a universal solution for I w.r.t. M.
- Let $\mathbf{U}$ be a finite set of universal examples for $\mathbf{M}$, and let $\boldsymbol{C}$ be a class of GLAV constraints.
We say that $\mathbf{U}$ uniquely characterizes M w.r.t. $\boldsymbol{C}$ if for every finite set $\Sigma^{\prime} \subseteq \boldsymbol{C}$ such that $\mathbf{U}$ is a set of universal examples for the schema mapping $\mathbf{M}^{\prime}=\left(\mathbf{S}, \mathbf{T}, \Sigma^{\prime}\right)$, we have that $\Sigma \equiv \Sigma^{\prime}$.


## Unique Characterizations via Universal Examples

## Question:

Which GLAV schema mappings can be uniquely
characterized by a finite set of universal examples and w.r.t. to what classes of constraints?

## Unique Characterizations Warm-Up

Theorem: Let $\mathbf{M}$ be the binary copy schema mapping specified by the constraint $\forall x \forall y(E(x, y) \rightarrow F(x, y))$.

- The set $\mathbf{U}=\left\{\left(\mathrm{I}_{1}, \mathrm{~J}_{1}\right)\right\}$ with $\mathrm{I}_{1}=\left\{\mathrm{E}(\mathrm{a}, \mathrm{b}\}, \mathrm{J}_{1}=\{\mathrm{F}(\mathrm{a}, \mathrm{b})\}\right.$ uniquely characterizes $\mathbf{M}$ w.r.t. the class of all LAV constraints.
- There is a finite set $\mathbf{U}^{\prime}$ consisting of three universal examples that uniquely characterizes $\mathbf{M}$ w.r.t. the class of all GAV constraints.
- There is no finite set of universal examples that uniquely characterizes $\mathbf{M}$ w.r.t. the class of all GLAV constraints.


## Unique Characterizations Warm-Up

The set $\mathbf{U}^{\prime}=\left\{\left(\mathrm{I}_{1}, \mathrm{~J}_{1}\right),\left(\mathrm{I}_{2}, \mathrm{~J}_{2}\right),\left(\mathrm{I}_{3}, \mathrm{~J}_{3}\right)\right\}$ uniquely characterizes the copy schema mapping w.r.t. to the class of all GAV constraints.



## Unique Characterizations of LAV Mappings

Theorem: If $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ is a LAV schema mapping, then there is a finite set $\mathbf{U}$ of universal examples that uniquely characterizes $\mathbf{M}$ w.r.t. the class of all LAV constraints.

## Hint of Proof:

- Let $\mathrm{d}_{1}, \mathrm{~d}_{2}, \ldots, \mathrm{~d}_{\mathrm{k}}$ be k distinct elements, where $\mathrm{k}=$ maximum arity of the relations in $\mathbf{S}$.
- U consists of all universal examples (I, J) with $\mathrm{I}=\left\{\mathrm{R}\left(\mathrm{c}_{1}, \ldots, \mathrm{c}_{\mathrm{m}}\right)\right\}$ and $\mathrm{J}=\operatorname{chase}_{\mathrm{M}}\left(\left\{\mathrm{R}\left(\mathrm{c}_{1}, \ldots, \mathrm{c}_{\mathrm{m}}\right)\right\}\right)$, where each $c_{i}$ is one of the $d_{j}$ 's.


## Illustration of Unique Characterizability

Let $\mathbf{M}$ be the binary projection schema mapping specified by

$$
\forall x \forall y(P(x, y) \rightarrow Q(x))
$$

- The following set $\mathbf{U}$ of universal examples uniquely characterizes $\mathbf{M}$ w.r.t. the class of all LAV constraints:
$\mathbf{U}=\left\{\left(\mathrm{I}_{1}, \mathrm{~J}_{1}\right),\left(\mathrm{I}_{2}, \mathrm{~J}_{2}\right)\right\}$, where
- $I_{1}=\left\{P\left(c_{1}, c_{2}\right)\right\}, \quad J_{1}=\left\{Q\left(c_{1}\right)\right\}$
- $I_{2}=\left\{P\left(c_{1}, c_{1}\right)\right\}, J_{2}=\left\{Q\left(c_{1}\right)\right\}$.


## Illustration of Unique Characterizability

Let $\mathbf{M}$ be the schema mapping specified by

$$
\forall x \forall y(P(x, y) \rightarrow Q(x)) \text { and } \forall x(P(x, x) \rightarrow \exists y R(x, y))
$$

- The following set $\mathbf{U}$ of universal examples uniquely characterizes $\mathbf{M}$ w.r.t. the class of all LAV constraints:

$$
\mathbf{U}=\left\{\left(\mathrm{I}_{1}, \mathrm{~J}_{1}\right),\left(\mathrm{I}_{2}, \mathrm{~J}_{2}\right)\right\}, \text { where }
$$

- $\mathrm{I}_{1}=\left\{\mathrm{P}\left(\mathrm{c}_{1}, \mathrm{c}_{2}\right)\right\}, \quad \mathrm{J}_{1}=\left\{\mathrm{Q}\left(\mathrm{c}_{1}\right)\right\}$
- $I_{2}=\left\{P\left(c_{1}, c_{1}\right)\right\}, \quad J_{2}=\left\{Q\left(c_{1}\right), R\left(c_{1}, Y\right)\right\}$.


## Number of Uniquely Characterizing Examples

## Note:

- The number of universal examples needed to uniquely characterize a LAV schema mapping is bounded by an exponential in the maximum arity of the relations in the source schema.
- This bound turns out to be tight.

Theorem: For $\mathrm{n} \geq 3$, let $\mathbf{M}_{\mathrm{n}}$ be the n -ary copy schema mapping specified by the constraint

$$
\forall x_{1} \ldots \forall x_{n}\left(P\left(x_{1}, \ldots, x_{n}\right) \rightarrow Q\left(x_{1}, \ldots, x_{n}\right)\right) .
$$

If $\mathbf{U}$ is a set of universal examples that uniquely characterizes
$\mathbf{M}_{\mathrm{n}}$ w.r.t. the class of LAV constraints, then $|\mathbf{U}| \geq 2^{\mathrm{n}}-2$.

## Unique Characterizations of GAV Mappings

Note: Recall that for the schema mapping specified by the binary copy constraint $\forall x \forall y(E(x, y) \rightarrow F(x, y))$, there is a finite set of universal examples that uniquely characterizes it w.r.t. the class of all GAV constraints.

In contrast,

Theorem: Let $\mathbf{M}$ be the GAV schema mapping specified by $\forall x \forall y \forall u \forall v \forall w(E(x, y) \wedge E(u, v) \wedge E(v, w) \wedge E(w, u) \rightarrow F(x, y))$. There is no finite set of universal examples that uniquely characterizes $\mathbf{M}$ w.r.t. the class of all GAV constraints.

## Unique Characterizations of GAV Mappings

Theorem: Let $\mathbf{M}$ be the GAV schema mapping specified by
$\forall x \forall y \forall u \forall v \forall w(E(x, y) \wedge E(u, v) \wedge E(v, w) \wedge E(w, u) \rightarrow F(x, y))$.
There is no finite set of universal examples that uniquely characterizes $\mathbf{M}$ w.r.t. the class of all GAV constraints.

## Note:

- Extends to every GAV schema mapping specified by $\forall x \forall y\left(E(x, y) \wedge Q_{G} \rightarrow F(x, y)\right)$, where $Q_{G}$ is the canonical conjunctive query of a graph $G$ containing a cycle. This will be a consequence of more general results to be discussed in what follows.


## (Non)-Characterizable GAV Schema Mappings

In summary, we have that

- $\quad \forall x \forall y(E(x, y) \rightarrow F(x, y))$
is uniquely characterizable by finitely many (in fact, three) universal examples w.r.t. the class of all GAV constraints.
- $\forall x \forall y \forall u \forall v \forall w(E(x, y) \wedge E(u, v) \wedge E(v, w) \wedge E(w, u) \rightarrow F(x, y))$ is not uniquely characterizable by finitely many universal examples w.r.t. the class of all GAV constraints.

Question: How can this difference be explained?

## Characterizing GAV Schema Mappings

- Question:
- What is the reason that some GAV schema mappings are uniquely characterizable w.r.t. the class of all GAV constraints while some others are not?
- Is there an algorithm for deciding whether or not a given GAV schema mapping is uniquely characterizable w.r.t. the class of all GAV constraints?
- Answer:
- The answers to these questions are closely connected to database constraints and homomorphism dualities.


## Homomorphisms

Notation: A, B relational structures (e.g., graphs)

- $\mathbf{A} \rightarrow \mathbf{B}$ means there is a homomorphism $h$ from $\mathbf{A}$ to $\mathbf{B}$, i.e., a function $h$ from the universe of $\mathbf{A}$ to the universe of $\mathbf{B}$ such that if $P\left(a_{1}, \ldots, a_{m}\right)$ is a fact of $\mathbf{A}$, then $\mathrm{P}\left(\mathrm{h}\left(\mathrm{a}_{1}\right), \ldots, \mathrm{h}\left(\mathrm{a}_{\mathrm{m}}\right)\right)$ is a fact of $\mathbf{B}$.
- Example: $\mathbf{G} \rightarrow \mathbf{K}_{\mathbf{2}}$ if and only if $\mathbf{G}$ is 2-colorable
- $\rightarrow \mathbf{A}=\{\mathbf{B}: \mathbf{B} \rightarrow \mathbf{A}\}$
- Example: $\rightarrow \mathbf{K}_{\mathbf{2}}=$ Class of 2-colorable graphs
- $\mathbf{A} \rightarrow=\{\mathbf{B}: \mathbf{A} \rightarrow \mathbf{B}\}$
- Example: $\mathbf{K}_{\mathbf{2}} \rightarrow=$ Class of graphs with at least one edge.


## Homomorphism Dualities

- Definition: Let $\mathbf{D}$ and $\mathbf{F}$ be two relational structures
- (F,D) is a duality pair if for every structure $\mathbf{A}$
$\mathbf{A} \rightarrow \mathbf{D}$ if and only if $(\mathbf{F} \rightarrow \mathbf{A})$.
In symbols, $\rightarrow \mathbf{D}=\mathbf{F} \rightarrow$
- In this case, we say that $\mathbf{F}$ is an obstruction for $\mathbf{D}$.
- Examples:
- For graphs, $\left(\mathbf{K}_{\mathbf{2}}, \mathbf{K}_{\mathbf{1}}\right)$ is a duality pair, since

$$
\mathbf{G} \rightarrow \mathbf{K}_{\mathbf{1}} \text { if and only if } \mathbf{K}_{\mathbf{2}} \rightarrow \mathbf{G} .
$$

- Gallai-Hasse-Roy-Vitaver Theorem (~1965) for directed graphs Let $\mathbf{T}_{\mathbf{k}}$ be the linear order with $k$ elements, $\mathbf{P}_{\mathbf{k + 1}}$ be the path with $k+1$ elements. Then $\left(\mathbf{P}_{\mathbf{k}+\mathbf{1}}, \mathbf{T}_{\mathbf{k}}\right)$ is a duality pair, since for every $\mathbf{H}$

$$
\mathbf{H} \rightarrow \mathbf{T}_{\mathbf{k}} \text { if and only if } \mathbf{P}_{\mathbf{k}+\mathbf{1}} \rightarrow \mathbf{H} .
$$

## Homomorphism Dualities

- Theorem (König 1936): A graph is 2-colorable if and only if it contains no cycle of odd length. In symbols, $\rightarrow K_{2}=\bigcap_{i \geq 0}\left(\mathbf{C}_{2 i+1 \rightarrow}\right)$.
- Definition: Let $\boldsymbol{F}$ and $\boldsymbol{D}$ be two sets of structures. We say that $(\boldsymbol{F}, \boldsymbol{D})$ is a duality pair if for every structure A, TFAE
- There is a structure $\mathbf{D}$ in $\boldsymbol{D}$ such that $\mathbf{A} \rightarrow \mathbf{D}$.
- For every structure $\mathbf{F}$ in $F$, we have $F \rightarrow \mathbf{A}$.

In symbols, $\bigcup_{\mathbf{D} \in \boldsymbol{D}}(\rightarrow \mathbf{D})=\bigcap_{\mathbf{F} \in \boldsymbol{F}}(F \rightarrow)$.
In this case, we say that $F$ is an obstruction set for $\boldsymbol{D}$.

## Homomorphism Dualities

Duality Pair ( $\boldsymbol{F}, \boldsymbol{D}$ ), where
$\boldsymbol{F}=\left\{\mathbf{F}_{1}, \boldsymbol{F}_{2}, \ldots\right\}$
$\boldsymbol{D}=\left\{\mathbf{D}_{1}, \mathbf{D}_{2}, \ldots\right\}$


The Yin
"Dreams": $U_{i}\left(\rightarrow D_{i}\right)$

The Yang
"Fears": $U_{i}\left(F_{i} \rightarrow\right)$

## Unique Characterizations and Homomorphism Dualities

Theorem: Let $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ be a GAV mapping.
Then the following statements are equivalent:

- $\mathbf{M}$ is uniquely characterizable via universal examples w.r.t. the class of all GAV constraints.
- For every target relation symbol $R$, the set $\boldsymbol{F}(\mathbf{M}, \mathrm{R})$ of the canonical structures of the GAV constraints in $\Sigma$ with $R$ as their head is the obstruction set of some finite set $\boldsymbol{D}$ of structures.


## Canonical Structures of GAV Constraints

## Definition:

- The canonical structure of a GAV constraint

$$
\forall x\left(\varphi_{1}(x) \wedge \ldots \wedge \varphi_{\kappa}(x) \rightarrow R\left(x_{i_{1}}, \ldots, x_{i_{\mathrm{i}}}\right)\right)
$$

is the structure consisting of the atomic facts $\varphi_{1}(x), \ldots, \varphi_{k}(x)$ and having constant symbols $\mathrm{c}_{1}, \ldots, \mathrm{c}_{\mathrm{m}}$ interpreted by the variables $\mathrm{x}_{\mathrm{i}_{1}}, \ldots, \mathrm{x}_{\mathrm{i}_{\mathrm{m}}}$ in the atom $\mathrm{R}\left(\mathrm{x}_{\mathrm{i}_{1}}, \ldots, \mathrm{x}_{\mathrm{i}_{\mathrm{m}}}\right)$.

- Let $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ be a GAV schema mapping. For every relation symbol $R$ in $\mathbf{T}$, let $\boldsymbol{F}(\mathbf{M}, R)$ be the set of all canonical structures of GAV constraints in $\Sigma$ with the target relation symbol $R$ in their head.


## Canonical Structures

## Examples:

- GAV constraint $\sigma$

$$
\forall x \forall y \forall z(E(x, y) \wedge E(y, z) \rightarrow F(x, z))
$$

- Canonical structure: $\mathbf{A}_{\sigma}=(\{x, y, z\},\{(E(x, y), E(y, z)\}, x, z)$
- Constants $c_{1}$ and $c_{2}$ interpreted by the distinguished elements $x$ and z .
- GAV constraint $\theta$

$$
\forall x \forall y \forall z(E(x, y) \wedge E(y, z) \rightarrow F(x, x))
$$

- Canonical structure: $\mathbf{A}_{\tau}=(\{x, y, z\},\{E(x, y), E(y, z)\}, x, x)$
- Constants $\mathrm{c}_{1}$ and $\mathrm{c}_{2}$ both interpreted by the distinguished element x .


## Unique Characterizations and Homomorphism Dualities

Theorem: Let $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ be a GAV mapping.
Then the following statements are equivalent:

- $\mathbf{M}$ is uniquely characterizable via universal examples w.r.t. the class of all GAV constraints.
- For every target relation symbol $R$, the set $\boldsymbol{F}(\mathbf{M}, \mathrm{R})$ of the canonical structures of the GAV constraints in $\Sigma$ with $R$ as their head is the obstruction set of some finite set $\boldsymbol{D}$ of structures.


## Illustration

Let $\mathbf{M}$ be the GAV schema mapping specified by

$$
\forall x(R(x, x) \rightarrow P(x)) .
$$

- Canonical structure $F=(\{x\},\{R(x, x)\}, x)$
- Consider $D=(\{a, b\},\{R(a, b), R(b, a), R(b, b)\}, a\})$

Fact: ( $\mathrm{F}, \mathrm{D}$ ) is a duality pair, because it is easy to see that for every structure $G=(V, R, d)$, we have that
$\mathrm{G} \rightarrow \mathrm{D}$ if and only if $\mathrm{F} \rightarrow \mathrm{G}$.

Consequently, $\mathbf{M}$ is uniquely characterizable via universal examples w.r.t. the class of all GAV constraints.

## Unique Characterizations and Homomorphism Dualities

## Question:

- Is there an algorithm to decide when a GAV mapping is uniquely characterizable via a finite set of universal examples w.r.t. to the class of all GAV constraints?
- If so, what is the complexity of this decision problem?


## c-Acyclicity

Definition: Let $\mathbf{A}=\left(A, R_{1}, \ldots, R_{m}, C_{1}, \ldots C_{k}\right)$ be a relational structure with constants $\mathrm{C}_{1}, \ldots, \mathrm{C}_{\mathrm{k}}$.

- The incidence graph inc(A) of $\mathbf{A}$ is the bipartite graph with
- nodes the elements of $A$ and the facts of $A$
- edges between elements and facts in which they occur
- The structure $\mathbf{A}$ is $\mathbf{c - a c y c l i c}$ if
- Every cycle of Inc(A) contains at least one constant $\mathrm{c}_{\mathrm{i}}$, and
- Only constants may occur more than once in the same fact.


## Example:

- $\mathbf{A}=(\{1,2,3\},\{R((1,2,3), Q(1,2)\}, 1)$ is $c$-acyclic
- the cycle $1, \mathrm{R}(1,2,3), 2, \mathrm{Q}(1,2), 1$ contains the constant 1 , and it is the only cycle of inc(A).
- $\mathbf{A}=(\{1,2,3\},\{R((1,2,3), Q(1,2)\}, 3)$ is not $c$-acyclic
- the cycle $1, R(1,2,3), 2, Q(1,2), 1$ contains no constant.


## When do Homomorphism Dualities Exist?

## Theorem:

Let $\boldsymbol{F}$ be a finite set of relational structures with constants consisting of homomorphically incomparable core structures.

- The following statements are equivalent:
- $\boldsymbol{F}$ is an obstruction set of some finite set $\boldsymbol{D}$ of structures.
- Each structure $\mathbf{F}$ in $\boldsymbol{F}$ is $\mathbf{c}$-acyclic.
- Moreover, there is an algorithm that, given such a set $\boldsymbol{F}$ consisting of c-acyclic structures, computes a finite set $\boldsymbol{D}$ of structures such that $(\boldsymbol{F}, \boldsymbol{D})$ is a duality pair.

Note: Extends results of Foniok, Nešetřil, and Tardif - 2008.

## Normal Forms

Definition: A GAV schema mapping is in normal form if for every target relation symbol $R$, the set $\boldsymbol{F}(\mathbf{M}, R)$ of the canonical structures of the GAV constraints in $\Sigma$ with $R$ as their head consists of homomorphically incomparable cores.

## Fact:

- Every GAV schema mapping is logically equivalent to a GAV schema mapping in normal form.
- There is an algorithm based on conjunctive-query containment that transforms a given GAV schema mapping to a GAV schema mapping in normal form.


## Unique Characterizations and Homomorphism Dualities

Theorem: Let $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ be a GAV schema mapping in normal form. Then the following statements are equivalent:

- $\mathbf{M}$ is uniquely characterizable via universal examples w.r.t. the class of all GAV constraints.
- For every target relation symbol $R$, the set $\boldsymbol{F}(\mathbf{M}, R)$ is the obstruction set of some finite set of structures.
- For every target relation symbol $R$, the set $\boldsymbol{F}(\mathbf{M}, R)$ consists entirely of $\mathbf{c}$-acyclic structures.


## Complexity of Unique Characterizations of GAV Mappings

## Theorem:

- This following problem is in LOGSPACE:

Given a GAV mapping $\mathbf{M}$ in normal form, is it uniquely characterizable via universal examples w.r.t. the class of all GAV constraints?

- The following problem is NP-complete:

Given a GAV mapping $\mathbf{M}$, is it uniquely characterizable via universal examples w.r.t. the class of all GAV constraints?

## Note:

- Recall that every GAV mapping can be transformed to a logically equivalent one in normal form.


## Applications

- The GAV schema mapping $\mathbf{M}$ specified by

$$
\forall x \forall y(E(x, y) \rightarrow F(x, y))
$$

is uniquely characterizable (the canonical structure is c -acyclic).

- More generally, if $\mathbf{M}$ is a GAV schema mapping specified by a tgd in which all variables in the LHS are exported to the RHS, then $\mathbf{M}$ is uniquely characterizable (reason: cycles in incidence graph contain constants).
- The GAV schema mapping $\mathbf{M}$ specified by $\forall x \forall y \forall u \forall v \forall w(E(x, y) \wedge E(u, v) \wedge E(v, w) \wedge E(w, u) \rightarrow F(x, y))$. is not uniquely characterizable: the canonical structure contains a cycle with no constant on it, namely,

$$
u, E(u, v), v, E(v, w), w, E(w, u), u
$$

- The GAV schema mapping $\mathbf{M}$ specified by

$$
\forall x \forall y \forall u(E(x, y) \wedge E(u, u) \rightarrow F(x, y))
$$

is not uniquely characterizable.

## More Applications

- The GAV schema mapping specified by the constraint

$$
\forall x \forall y \forall z(E(x, y) \wedge E(y, z) \rightarrow F(x, z))
$$

is uniquely characterizable via universal examples.

- Let $\mathbf{M}$ be the GAV schema mappings specified by the constraints
- $\quad \sigma: \forall x \forall y \forall z(E(x, y) \wedge E(y, z) \wedge E(z, x) \rightarrow F(x, z))$
- $\quad \tau: \quad \forall x \forall y(E(x, y) \wedge E(y, x) \rightarrow F(x, x))$

The canonical structures of these constraints are

- $A_{\sigma}=(\{x, y, x\}\{E(x, y), E(y, z), E(z, x)\}, x, z)$
- $A_{\tau}=(\{x, y\},\{E(x, y), E(y, x)\}, x, x)$
- Both are c-acyclic; hence $\left\{A_{\sigma}, A_{T}\right\}$ is an obstruction set of a finite set of structures.
- Therefore, $\mathbf{M}$ is uniquely characterizable via universal examples.


## Synopsis

- Introduced and studied the notion of unique characterization of a schema mapping by a finite set of universal examples.
- Every LAV schema mapping is uniquely characterizable via universal examples w.r.t. the class of all LAV constraints.
- Necessary and sufficient condition, and an algorithmic criterion for a GAV schema mapping to be uniquely characterizable via universal examples w.r.t. the class of all GAV constraints.
- Tight connection with homomorphism dualities.


## Open Problems

- When is a LAV schema mapping uniquely characterizable by a "small" number of universal examples w.r.t. to the class of all LAV constraints?
- Same question for GAV schema mappings.
- When is a GLAV schema mapping uniquely characterizable by finitely many universal examples w.r.t. to the class of all GLAV constraints?
- We do not even know whether this problem is decidable.


## References

- This part of the tutorial is based mainly on the paper "Characterizing Schema Mappings via Data Examples" by B. Alexe, B. ten Cate, Ph. Kolaitis, W.-C. Tan in ACM TODS 2011.
- Earlier versions appeared in PODS 2010 and CP 2011.
- For an introduction on homomorphism dualities, see the book "Graphs and Homomorphisms" by P. Hell and J. Nešetril, Cambridge University Press 2004.


## Roadmap

This tutorial is about schema mappings and data examples.

- This part of the tutorial focused on the direction
- From schema mappings to data examples:

Given a schema mapping, how can we characterize it using finitely many "good" data examples?

- The next part of the tutorial will focus on the other direction:
- From data examples to schema mappings.


## Back-up Slides

## Armstrong Bases and Armstrong Databases

Definition: (Fagin - 1982; implicit in Armstrong - 1974)
$\Sigma$ and $\boldsymbol{C}$ two sets of constraints over the same schema. An Armstrong database for $\Sigma$ w.r.t. $\boldsymbol{C}$ is a database D such that for every $\sigma \in \boldsymbol{C}$, we have that $\Sigma \vDash \sigma$ if and only if $\mathrm{D} \vDash \sigma$.

Note: Armstrong databases were extensively studied in the context of the implication problem for database constraints.

Definition: $\Sigma$ and $\boldsymbol{C}$ two sets of constraints over the same schema. An Armstrong basis for $\Sigma \mathbf{w} . r . t . \boldsymbol{C}$ is a finite set $\mathbf{D}$ of databases such that for every $\sigma \in \boldsymbol{C}$, we have that $\Sigma \vDash \sigma$ if and only if $\mathbf{D} \vDash \sigma$, for every $\mathbf{D} \in \mathbf{D}$.

## Armstrong Databases vs. Armstrong Bases

## Example: $\quad \Sigma=\left\{P(x) \rightarrow P^{\prime}(x), Q(x) \rightarrow \mathrm{Q}^{\prime}(\mathrm{x})\right\}$

- There is no Armstrong database for $\Sigma$ w.r.t. the class of all LAV constraints.
- There is an Armstrong basis for $\Sigma$ w.r.t. the class of all LAV constraints, namely, $D=\left\{D_{1}, D_{2}\right\}$ with

$$
D_{1}=\left\{P(a), P^{\prime}(a)\right\}, D_{2}=\left\{Q(a), Q^{\prime}(a)\right\} .
$$

## Note:

- Armstrong bases do not seem to have been studied earlier.
- Much of the earlier work on Armstrong bases focused on unirelational databases and typed constraints; in this case, an Armstrong basis exists if and only if an Armstrong database exists.


## Universal Examples and Armstrong Bases

Theorem: Let $\mathbf{M}=(\mathbf{S}, \mathbf{T}, \Sigma)$ be a GLAV schema mapping, and let $\boldsymbol{C}$ be a set of GLAV constraints. The following are equivalent:

1. There is a finite set $\mathbf{U}$ of universal examples that uniquely characterizes M w.r.t. C.
2. There is an Armstrong basis $\mathbf{D}$ for $\Sigma$ w.r.t. $\boldsymbol{C}$.

Note: The above result:

- Reinforces the "goodness" of universal examples.
- Reveals an a priori unexpected connection between a key notion in data exchange and (a relaxation of) a key notion in database dependency theory.


## Schema Mappings and Data Examples

Earlier part of this tutorial:

- From schema mappings to data examples:
- Given a schema mapping, how can we characterize it using finitely many "good" data examples?

This part of the tutorial will focus on the other direction:

- From data examples to schema mappings.
- The Eirene and Muse Systems
- Use data examples to derive and understand schema mappings.


## Deriving, Understanding, and Refining Schema Mappings

- Eirene: Derive, understand, and refine schema mappings via data examples
- [Alexe, ten Cate, Kolaitis, Tan, SIGMOD 2011]
- [Alexe, ten Cate, Kolaitis, Tan, VLDB 2011 demo]
- Muse: Understand and refine certain components of a given schema mapping via data examples
- [Alexe, Chiticariu, Miller, Tan, ICDE 2008]
- [Alexe, Chiticariu, Miller, Pepper, Tan, SIGMOD 2008 Demo]


## Data Examples

- Recall: A data example is a pair $(\mathrm{I}, \mathrm{J})$ such that I is a source instance over $\mathbf{S}$ and $J$ is a target instance over $\mathbf{T}$.



## Why Data Examples?

- Natural way to provide partial specifications of the semantics of the desired schema mapping.

Recall: "universal examples"

- User's intention: J is a universal solution of I w.r.t. the desired schema mapping.
- A universal solution is a most general solution.
- No extraneous or over-specified facts, unlike arbitrary solutions.
- Contain just the right information needed to represent the desired outcome of migrating data.


## Fitting Schema Mappings

- A schema mapping $M$ fits a data example ( $\mathrm{I}, \mathrm{J})$ if J is a universal solution for I w.r.t. M.
- A schema mapping $M$ fits a set $E$ of data examples if $M$ fits every data example ( $1, \mathrm{~J}$ ) in $E$.

GLAV Fitting Generation Problem
Given a source schema S, a target schema $\mathbf{T}$, and a finite set $E$ of data examples that conform to the schemas, can we construct a GLAV schema mapping that fits $E$ if possible? Otherwise, report "none exists".

## Putting the human in the loop

- Interactive design of schema mappings via data examples


Fitting GLAV schema mapping or report "none exists"

## An Illustration

Source schema S
Patient(pid, name, healthplan, date) Doctor(pid, docid)

Target schema $\mathbf{T}$
History(pid, plan, date, docid) Physician(docid, name, office)
$\mathrm{J}_{1}$ :
History(123, Plus, Jan, Anna)
Patient $(x, y, z, u) \wedge \operatorname{Doctor}(x, v) \rightarrow \operatorname{History}(x, z, u, v)$

## An Illustration

## Source schema S

Patient(pid, name, healthplan, date)
Doctor(pid, docid)

Target schema T
History(pid, plan, date, docid) Physician(docid, name, office)
$\mathrm{J}_{2}$ :
History(123, Plus, Jan, N1) Physician(N1, Anna, N2)
Patient $(x, y, z, u) \wedge \operatorname{Doctor}(x, v) \rightarrow$
$\exists w, w^{\prime}\left(\operatorname{History}(x, z, u, w) \wedge \operatorname{Physician}\left(w, v, w^{\prime}\right)\right)$
"Canonical GLAV schema mapping" - based on data examples

## An Illustration

## Source schema S <br> Patient(pid, name, healthplan, date) <br> Doctor(pid, docid)



No fitting schema mapping exists! Intuition: The way Anna gets mapped from $I_{3}$ to $J_{3}$ contradicts the way Bob gets mapped from $\mathrm{I}_{4}$ to $\mathrm{J}_{4}$.

Target schema $\mathbf{T}$
History(pid, plan, date, docid)
Physician(docid, name, office)

## $\mathrm{J}_{3}$ :

History(123, Plus, Jan, N1)
Physician(N1, Anna, N2)
$\mathrm{J}_{4}$ :
Physician(Bob, 392, N3)

## An Illustration

## Source schema S

Patient(pid, name, healthplan, date) Doctor(pid, docid)
Patient(123, Joe, Plus, Jan)
Doctor(123, Anna)
$\mathrm{I}_{6}$ :
Doctor(392, Bob)
$\mathrm{I}_{7}$ :
Patient(653, Cathy, Basic, Feb)

Target schema T
History(pid, plan, date, docid)
Physician(docid, name, office)
$\mathrm{J}_{5}$ :
History(123, Plus, Jan, N1)
Physician(N1, Anna, N2)
$\mathrm{J}_{6}$ :
Physician(N3, Bob, N4)
$\mathrm{J}_{7}$ :
History(653, Basic, Feb, N5)
$\operatorname{Patient}(x, y, z, u) \wedge \operatorname{Doctor}(x, v) \rightarrow \exists w, w^{\prime}\left(\operatorname{History}(x, z, u, w) \wedge \operatorname{Physician}\left(w, v, w^{\prime}\right)\right)$
$\operatorname{Doctor}(x, y) \rightarrow \exists w, w^{\prime} \operatorname{Physician}\left(w, y, w^{\prime}\right)$
Patient $(x, y, z, u) \rightarrow \exists w \operatorname{History}(x, z, u, w)$

## GLAV Fitting Algorithm

Input: S, T, E
Output: A fitting GLAV schema mapping or "none exists"

1. Perform homomorphism extension test on every pair $\left(I_{1}, J_{1}\right)$, $\left(I_{2}, J_{2}\right)$ of data examples in $E$. If the test fails, return "none exists".
2. Construct a fitting canonical GLAV schema mapping M. Return $M$.

## Homomorphism Extension

- A homomorphism $\mathrm{h}: \mathrm{I}_{1} \rightarrow \mathrm{I}_{2}$ between instances is function from adom $\left(I_{1}\right)$ to adom $\left(I_{2}\right)$ s.t. for every fact $P\left(a_{1}, \ldots, a_{m}\right)$ in $I_{1}$, we have that $P\left(h\left(a_{1}\right), \ldots, h\left(a_{m}\right)\right)$ is a fact in $I_{2}$.

```
I
Patient(123, Joe, Plus, Jan)
Doctor(123, Anna)
I}:\quad\uparrow\quad
Doctor(392, Bob)
```

$\mathrm{J}_{5}$ :
History(123, Plus, Jan, N1)
Physician(N1, Anna, N2)
$\mathrm{J}_{6}: \quad \uparrow \quad \uparrow \quad \uparrow$
Physician(N3, Bob, N4)

The source homomorphism can be extended.

## Homomorphism Extension

- A homomorphism $\mathrm{h}: \mathrm{I}_{1} \rightarrow \mathrm{I}_{2}$ between instances is function from adom $\left(I_{1}\right)$ to adom $\left(I_{2}\right)$ s.t. for every fact $P\left(a_{1}, \ldots, a_{m}\right)$ in $I_{1}$, we have that $P\left(h\left(a_{1}\right), \ldots, h\left(a_{m}\right)\right)$ is a fact in $I_{2}$.

```
I
Patient(123, Joe, Plus, Jan)
Doctor(123, Anna)
I4: }\quad\uparrow\quad
Doctor(392, Bob)
```

```
J
```

J
History(123, Plus, Jan, N1)
History(123, Plus, Jan, N1)
Physician(N1, Anna, N2)
Physician(N1, Anna, N2)
J4
J4
Physician(Bob, 392, N3)

```
Physician(Bob, 392, N3)
```

The source homomorphism cannot be extended.

## GLAV Fitting Algorithm: Properties

## Correctness

Theorem: Let $E$ be a finite set of data examples. TFAE:

1) The canonical GLAV schema mapping of $E$ fits $E$.
2) There is a GLAV schema mapping that fits $E$.
3) For all $(I, J),(I ’, J \prime) \in E$, every homomorphism $h: I \rightarrow I \prime$ extends to a homomorphism $h^{\prime}: J \rightarrow J^{\prime}$.

## GLAV Fitting Algorithm: Properties

## Most general fitting schema mapping

Theorem: Let $E$ be a finite set of data examples. If there is a GLAV schema mapping that fits $E$, then the canonical GLAV schema mapping of $E$ is the most general schema mapping that fits $E$.

We say that a schema mapping M is more general than $\mathrm{M}^{\prime}$ if $\Sigma^{\prime}$ logically implies $\Sigma$.

- If for every data example (I, J) such that (I, J) satisfies $\Sigma$ ' we have that (I, J) also satisfies $\Sigma$.



## GLAV Fitting Algorithm: Properties

## Completeness for GLAV Schema Mapping Design

Theorem: For every GLAV schema mapping $M$, there is a finite set $E_{M}$ of data examples, where $M$ is the most general GLAV schema mapping (up to logical equivalence) that fits $E_{M}$.

## GLAV Fitting Algorithm: Properties

## Complexity

- Step 1 of the GLAV fitting algorithm can take exponential time.
- Number of homomorphisms between two database instances can be exponential.
- Every homomorphism extension must be verified in the successful case.
- Polynomial amount of memory (for storing homomorphisms).

Theorem
The GLAV Fitting Generation Problem is $\Pi_{2}^{p}$-complete.

## A further note

Input: S, T, E
Output: A fitting GLAV schema mapping or "none exists"

1. Perform homomorphism extension test on every pair $\left(I_{1}, J_{1}\right)$, $\left(I_{2}, \mathrm{~J}_{2}\right)$ of data examples in $E$. If the test fails, return "none exists".
2. Construct a fitting canonical GLAV schema mapping M. Return $M$.

Fact: For any "consistent" set of data examples, a (canonical) fitting GAV schema mapping can be computed in linear time.

## Statistics of real-life mapping scenarios

|  | \# of <br> source <br> relations | Avg. <br> source <br> arity | \# of <br> target <br> relations | Avg. <br> target <br> arity | \# of <br> GLAV <br> constraints | Avg. \# <br> of LHS <br> atoms | Avg. \# <br> of RHS <br> atoms |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| DBLP - Amalgam | 7 | 6.5 | 9 | 6.5 | 10 | 1.4 | 2.2 |
| Amalgam S1 - S2 | 15 | 6.7 | 27 | 2.0 | 71 | 1.2 | 2.1 |
| GUS - BioSQL | 7 | 6.4 | 6 | 5.5 | 8 | 1.6 | 1.9 |


| \# of <br> canonical <br> examples | Time to <br> generate <br> canonical <br> examples (s) | Avg. \# of <br> nonempty <br> source <br> relations | Avg. \# of <br> tuples per <br> source <br> relation | Avg. \# of <br> nonempty <br> target <br> relations | Avg. \# of <br> tuples per <br> target <br> relation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 10 | 4.8 | 1.4 | 1.0 | 2.2 | 1.1 |
| 15 | 9 | 1.9 | 1.0 | 10.7 | 1.1 |
| 7 | 2.3 | 1.6 | 1.1 | 2.1 | 2.3 |

## Experimental evaluation with reallife mapping scenarios

- Canonical data examples

|  | Number <br> of <br> examples | Size of <br> each example <br> (\# of source + <br> target tuples) | Initial <br> fitting <br> test <br> (s) | Fitting <br> test <br> per user <br> change (s) |
| :--- | :---: | :---: | :---: | :---: |
| DBLP - Amalgam | 10 | 3.8 | 1.6 | 0.2 |
| Amalgam S1 - S2 | 15 | 13.4 | 3.6 | 0.3 |
| GUS - BioSQL | 7 | 6.5 | 1.2 | 0.2 |

## Experimental evaluation with reallife mapping scenarios

- Synthetic data examples

|  | Number <br> of <br> examples | Size of <br> each example <br> (\# of source + <br> target tuples) | Initial <br> fitting <br> test <br> (s) | Fitting <br> test <br> per user <br> change $(\mathrm{s})$ |
| :--- | :---: | :---: | :---: | :---: |
| DBLP - Amalgam | 10 | 48 | 17.7 | 1.8 |
| Amalgam S1 - S2 | 10 | 126 | 222.4 | 23.1 |
| GUS - BioSQL | 10 | 39 | 14.2 | 1.5 |

## Implementation

- Implementation over DB2 shows promising results for real schema mapping scenarios.
- Canonical Data Examples:
- Initial execution of GLAV fitting algorithm: 1-4 secs
- After modifications, time to refit a schema mapping, if it exists: $8 \%$ to $17 \%$ of initial fitting time.
- Intuition: only part of the homomorphism extension test is recomputed.
- Synthetic Data Examples:
- Initial execution of GLAV fitting algorithm: 14-222s
- After modifications, time to refit a schema mapping, if it exists: about $10 \%$ of initial fitting time.


## As part of existing Schema-Mapping Design Systems



GLAV Schema Mapping
Canonical Data Examples


MUSE

## Muse

- A mapping design wizard that uses data examples to assist designers in understanding and refining a schema mapping.
- Use of data examples was advocated in [Yan, Miller, Haas, Fagin SIGMOD 01]
- Data examples are used to resolve ambiguities in visual specification, and refine mappings (SQL).
- Focus on two important components of a mapping design:
- the specification of the desired grouping semantics for sets of data, and
- the choice among alternative interpretations for semantically ambiguous mappings.


## Ambiguous Mappings



- This mapping is ambiguous.
for
p in CompDB.Projects
e1 in CompDB.Employees
e2 in CompDB.Employees
satisfy
e1.eid = p.manager
e2.eid $=$ p.tech-lead
exists
p1 in OrgDB.Projects
where
p.pname = p1.pname
p1.supervisor =
e1.ename or e2.ename
p1.email =
e1.contact or e2.contact
- There are four alternative interpretations.

| e1.ename | e1.ename | e2.ename | e2.ename |
| :--- | :--- | :--- | :--- |
| e1.contact | e2.contact | e1.contact | e2.contact |

## Muse-D: Disambiguating Mappings

- Key idea: provide a data example that illustrates the alternative interpretations in a compact way.


## Projects

P1 DB e4 e5
Employees
e4 John john@ibm
e5 Anna anna@ibm

## Projects

DB
D


```
m
``` -
- The mapping designer makes one choice for each ambiguous element
- Each decision removes one ambiguity.
- E.g., choosing "Anna" as the supervisor and "john@ibm" as the email.
p1.supervisor =
e1-namo-ore2.ename
p1.email =
e1.contact or e2.contact

\section*{Obtaining Source Examples}

Running queries over the real source instance.

Query:
Projects(p1,pn1,e1,e2) and
Employees(e1,en1,cn1) and
Employees(e2,en2,cn2) and
en1 != en2 and
cn1 != cn2


\section*{Muse-D: Properties}
- For each ambiguous mapping, the designer is presented with a single compact data example.
- Proposition (Completeness).
- The single data example differentiates among all the alternative interpretations of the ambiguous mapping.
- The number of choices a mapping designer has to make is equal to the number of ambiguous elements.
- Proposition (Small examples). The number of tuples in the example source instance is the number of conjuncts in the for clause of the mapping.

\section*{MUSE Workflow}


\title{
Schema Mappings and Data Examples
}

Part IV: More Approaches to Deriving Schema Mappings from Examples

EDBT'13 tutorial
Balder ten Cate, Phokion Kolaitis and Wang-Chiew Tan

\section*{Where are we?}
- Two aspects of the use of data examples in schema mapping design:
I. Using data examples to illustrate (candidate) schema mappings
II. Deriving schema mappings from data examples
- Three approaches to deriving schema mappings from data examples:
1. Fitting approach (EIRENE): Construct a (most general) fitting schema mapping (if it exists) [Alexe - ten Cate - Kolaitis - Tan SIGMOD'11]
2. Gottlob-Senellart approach: computing a schema mapping of "optimal cost" [Gottlob - Senellart JACM'10]
3. Learning Schema Mappings: computational learning approach [ten Cate - Dalmau - Kolaitis ICDT'12]

\section*{The Fitting Approach}
- We want to derive a GLAV schema mapping on the basis of a collection of (universal) data examples \(\left(\mathrm{I}_{1}, \mathrm{~J}_{1}\right), \ldots,\left(\mathrm{I}_{\mathrm{n}}, \mathrm{J}_{\mathrm{n}}\right)\).
- Case 1: There is a unique fitting GLAV schema mapping
- Case 2: There are multiple fitting GLAV schema mappings
- Case 3: There is no fitting GLAV schema mapping

\section*{Multiple Fitting Schema Mappings}


Source


Target
- Schema mapping \(\mathrm{M}_{1}\) :
\[
\begin{array}{r}
\forall x y(R(x, y) \rightarrow S(x, y)) \\
\forall x(P(x) \rightarrow Q(x))
\end{array}
\]
- Schema mapping \(\mathrm{M}_{2}\) :
\[
\begin{array}{r}
\forall x y(R(x, y) \wedge P(x) \rightarrow S(x, y) \\
\forall x y(R(x, y) \wedge P(x) \rightarrow Q(x)
\end{array}
\]
- Schema mapping \(\mathrm{M}_{3}\) :

GLAV schema mapping
\[
\forall x y(R(x, y) \rightarrow S(x, y) \wedge Q(x)
\]
smallest fitting GLAV schema mapping

\section*{No Fitting Schema Mapping}


\section*{The Fitting Approach (Summary)}
- Input: a finite collection of data examples (typically small; handcrafted or system-generated; possibly containing labeled null values)
- Method: Test if a fitting GLAV schema mapping exists (homomorphism extension test, \(\Pi_{2}{ }^{\mathrm{p}}\)-complete)
- Yes? Produce most general fitting GLAV schema mapping (PTIME)
- No? show user where the homomorphism extension test fails, so that they can correct the examples.
- (Similarly for GAV.)

\section*{The "Gottlob-Senellart" Model}
- Input: single data example (large; no labeled nulls; for example (DBLP,GoogleScholar))
- Method: find a schema mapping of "optimal cost"
- Cost model (intuitively): takes into account size of the schema mapping and how well it fits the data example.
- Cost model (more formally): the cost of a schema mapping is the size of the smallest "repair" that fits the given data example.
- Two-layered approach:
- The basic language of GLAV schema mapping (as usual)
- A richer language of "repaired GLAV schema mappings"

\section*{Example}
- GLAV Schema Mapping:
\[
R(x, y) \rightarrow \exists z S(x, z)
\]

- Repaired GLAV Schema Mapping (which fits the data example):
\[
\begin{array}{ll}
- & R(x, y) \wedge x \neq a_{4} \rightarrow \exists z S(x, z) \wedge \bigwedge_{i}\left(x=a_{i} \rightarrow z=c_{i}\right) \\
-\quad S(d, e)
\end{array}
\]

\section*{A Note on Terminology}
- G\&S speak of "a schema mapping M that is valid and fully explaining for \((\mathrm{I}, \mathrm{J})^{\prime \prime}\). Since ( \((\mathrm{I}, \mathrm{J})\) is assumed to be a ground data example, we can equivalently say that "M fits ( \(\mathrm{I}, \mathrm{J}\) )".

\section*{Gottlob-Senellart Cost Model}
- Repair of a GLAV schema mapping \(M\) is obtained by
- extending left-hand sides of GLAV constraints with additional conjuncts of the form \(x=c\) and \(x \neq c\)
- extending right-hand side of GLAV constraints with additional conjuncts of the form \(\left(x_{1}=c_{1} \wedge \ldots \wedge x_{n}=c_{n}\right) \rightarrow y=d\)
- adding ground facts to the schema mapping
- The size of a repaired GLAV schema mapping is the total number of occurrences of variables and constant symbols, where ground facts \(R\left(a_{1}, \ldots, a_{n}\right)\) count as having size \(3 n\).
- The cost of a GLAV schema mapping \(\mathbf{M}\) w.r.t. a data example (I,J) is the size of the smallest repair of \(M\) that fits ( \(\mathrm{I}, \mathrm{J}\) ).

\section*{Example (Revisited)}
- GLAV Schema Mapping M:


Source

\[
R(x, y) \rightarrow \exists z S(x, z)
\]
- Repaired GLAV Schema Mapping \(\mathbf{M}^{\prime}\) (which fits the data example):
\[
\begin{array}{ll}
- & R(x, y) \wedge x \neq a_{4} \rightarrow \exists z S(x, z) \wedge \bigwedge_{i}\left(x=a_{i} \rightarrow z=c_{i}\right) \\
- & S(d, e)
\end{array}
\]
\[
\operatorname{Cost}_{(I, J)}(\mathrm{M})=\operatorname{Size}\left(\mathrm{M}^{\prime}\right)=24
\]

\section*{Optimization Problem}
- the problem of deriving a schema mapping from a data example becomes an optimization problem:
- find a GLAV schema mapping \(M\) such that \(\operatorname{cost}_{(\mathrm{I}, \mathrm{J})}(\mathrm{M})\) is mimimal. Such a schema mapping M is said to be "optimal" for (I,J).

\section*{Justification of the Cost Model}
- Recall that GLAV schema mappings allow us to "express" the basic relation algebraic operations such as selection, projection, and join (e.g., the projection \(\pi_{i}\) is naturally "expressed" by \(R(x) \rightarrow S\left(x_{i}\right)\) ).
- Let \(\gamma\) be the (binary) relational algebra operator of
selection, projection, union, intersection, product, or join
and let \(\mathrm{M}_{\gamma}\) be the schema mapping that "expresses" \(\gamma\). Then, for all "sufficiently rich" instances I , we have that \(\mathrm{M}_{\gamma}\) is optimal for (I, \(\gamma(\mathrm{I})\) ).

\section*{Selected Complexity Results}
- Computing the cost of a schema mapping:
- \(\quad\) Testing if \(\operatorname{Cost}_{(I, J)}(\mathrm{M})<\mathrm{k}\) is in \(\Sigma_{3}^{\mathrm{p}}\) and \(\Pi_{2}{ }^{\mathrm{p}}\)-hard.
- For schema mappings without \(\exists\)-quantifiers, it is in \(\Sigma_{2} \mathrm{p}\) and DP-hard.
- Finding schema mappings of a given cost:
- Testing if there is an \(M\) with \(\operatorname{Cost}(I, J)(M)<k\) is in \(\Sigma_{3} \mathrm{p}\) and NP-hard.
- For schema mappings without \(\exists\)-quantifiers, it is in \(\Sigma_{2} \mathrm{p}\) and NP-hard.
- Testing optimality:
- Testing if a given schema mapping M is optimal is in \(\Pi_{4} \mathrm{p}\) and DP-hard.
- For schema mappings without \(\exists\)-quantifiers, it is in \(\Pi_{3} \mathrm{p}\) and DP-hard.

\section*{Pros and Cons of the GS Model}
- Gottlob-Senellart Model:
- Pro: always results in a schema mapping (in the worst case, \(M=\varnothing\) )
- Pro: tolerant to noise in the data example
- Con: sensitive to precise definition of cost function
- Con: may produce a non-fitting schema mapping even when a fitting schema mapping exists.
- See [Gottlob - Senellart JACM 2010] for more details.

\section*{Where are we?}
- Two aspects of the use of data examples in schema mapping design:
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II. Deriving schema mappings from data examples
- Three approaches to deriving schema mappings from data examples:
1. Fitting approach: Computing a (most general) fitting schema mapping (if it exists) [Alexe - ten Cate - Kolaitis - Tan SIGMOD'11]
2. Gottlob-Senellart approach: computing a schema mapping of "optimal cost" [Gottlob - Senellart JACM'10]
3. Learning Schema Mappings: computational learning approach [ten Cate - Dalmau - Kolaitis ICDT'12]

\section*{Learning Schema Mappings}
- We now consider the problem of obtaining a schema mapping from data examples from the perspective of computational learning theory.
- Our aim: to leverage the rich body of work on learning theory in order to develop a framework for exploring the power and the limitations of the various algorithmic methods for obtaining schema mappings from data examples.
- We restrict attention to GAV schema mappings.

\section*{GAV schema mappings}
- We consider a relational source schema \(\mathbf{S}\) and target schema \(\mathbf{T}\).
- A GAV schema mapping M is a schema mapping specified by a finite set of GAV constraints \(\forall \mathbf{x}\left(\varphi(\mathbf{x}) \rightarrow R\left(x_{i 1}, \ldots, x_{i n}\right)\right)\).
- We denote the set of GAV schema mappings over \(\mathbf{S}\) and \(\mathbf{T}\) by GAV(S,T).
- Our main question: under what standard models of learning are GAV schema mappings learnable using data examples?

\section*{Types of data examples}
- We focus on positive and negative examples for convenience of exposition. All results also hold for universal examples.
- In the GAV setting (unlike in the GLAV setting), positive and negative examples and universal examples are interchangeable for present purposes.
- Recall:
- A positive example for M is a pair of instances \((\mathrm{I}, \mathrm{J}) \models \mathrm{M}\)
- A negative example for M is a pair of instances \((\mathrm{I}, \mathrm{J}) \not \models \mathrm{M}\)
- A universal example for \(M\) is a pair of instances (I,J) such that \(J\) is a universal solution for I w.r.t. M.

\section*{Computational learning theory}
in time polynomial in the representation of \(\mathrm{c}^{\mathrm{g}}\) and the size of the examples returned by the oracle

exactly or approximately
- Task: to efficiently identify an unknown "goal concept" \(c^{\mathrm{g}}: \mathrm{X} \rightarrow\{0,1\}\), for instance
- a Boolean function \(\left(c^{g}:\{0,1\}^{\mathrm{n}} \rightarrow\{0,1\}\right)\), specified by a DNF formula
- a formal language \(\left(c^{g}: \Sigma^{*} \rightarrow\{0,1\}\right)\), specified by a DFA after asking a number of queries about it to an oracle.
"Is it the case that \(c^{g}(x)=1\) ?"
"Is it the case that \(\mathrm{c}^{g} \equiv \mathrm{c}\) ? Give me a counterexample."
"Give me a randomly generated labeled example \((\mathrm{x}, \mathrm{c} \mathrm{g}(\mathrm{x}))\) " (random example query)

\section*{Well-known models of learning}
- Efficient exact learnability with membership queries and / or equivalence queries (Angluin)
(After asking polynomially many membership/equivalence queries, the algorithm identifies the goal concept with certainty.)
- E.g., monotone DNF formulas are efficiently exactly learnable with membership and equivalence queries. Both types of queries are needed.
- Efficient PAC (Probably-Approximately-Correct) learnability with random example queries and possibly membership queries (Valiant)
(For all probability distributions D over the example space, when given labeled random examples drawn from D , with high probability, the algorithm produces a hypothesis that has a small expected error on random examples drawn from D.)
- E.g., monotone DNF formulas are efficiently PAC learnable with membership queries. Membership queries are needed (assuming \(R P \neq N P\) ).

\section*{Exact learning vs PAC learning}
- References for the (non)-learnability results for Monotone DNF: [Angluin '87; '90, Alekhnovich et al. '08].
- Relationship between exact learnability and PAC learnability [Angluin'87]:
- Efficient exactly learnability with equivalence queries implies efficient PAC learnability
- Efficient exact learnability with equivalence queries and membership queries implies efficient PAC learnability with membership queries
- Caveat: this assumes that the evaluation problem is in PTime (i.e., given a concept \(c\) and an example \(x\), we can efficiently test if \(c(x)=1\) ).

\section*{Our main results}

\section*{Exact learning models}
- \(\operatorname{GAV}(\mathbf{S}, \mathbf{T})\) is efficiently exactly learnable with membership queries and equivalence queries.
- Both types of queries are needed, unless \(\mathbf{S}\) has only unary relations.

\section*{Approximate learning models}
- \(\operatorname{GAV}(\mathbf{S}, \mathbf{T})\) is not efficiently PAC learnable (assuming \(\mathrm{RP} \neq \mathrm{NP}\) ), unless \(\mathbf{S}\) has only of unary relations.
- GAV(S,T) is efficiently PAC learnable with membership queries and an oracle for NP.

Computing a fitting GAV schema mapping of near minimal length
- One cannot approximate efficiently, up to a polynomial, the shortest GAV schema mapping fitting a given set of data examples.
- All (non-)learnability continue to hold if we consider only uniquely characterizable GAV schema mappings.

\section*{Exact learnability}
- Theorem: \(\operatorname{GAV}(\mathbf{S}, \mathbf{T})\) is efficiently exactly learnable with membership and equivalence queries.
- Proof sketch:
- Let \(\mathrm{M}^{8}\) to be the (unknown) goal GAV schema mapping.
- Our algorithm will work by maintaining a hypothesis GAV schema mapping \(\mathrm{M}^{\mathrm{h}}\) such that \(\mathrm{M}^{\mathrm{g}} \vDash \mathrm{M}^{\mathrm{h}}\). Initially, \(\mathrm{M}^{\mathrm{h}}=\varnothing\), and after polynomially many iterations, provably \(\mathrm{M}^{\mathrm{h}} \equiv \mathrm{M}\).
- NB: the algorithm cannot even evaluate a hypothesis \(\mathrm{M}^{\mathrm{h}}\) on an example, as the evaluation problem is coNP-hard. On the other hand, the algorithm can evaluate \(\mathrm{M}^{8}\) on an example (membership query).
- Definition: For two GAV constraints \(\mathrm{C}, \mathrm{C}^{\prime}\), we write \(\mathrm{C} \rightarrow \mathrm{C}^{\prime}\) if the leftand right-hand side of \(C\) can be homomorphically mapped into the leftand right-hand side of \(\mathrm{C}^{\prime}\).

\section*{Example:}
\[
\begin{aligned}
& \text { C Rxy^Ryz } \rightarrow \text { Txz } \\
& \stackrel{\searrow}{R_{x x}} \underset{S x \rightarrow T x}{ } \downarrow
\end{aligned}
\]
- Lemma: Let M,M' be sets of GAV constraints and C,C' GAV constraints. Then
(i) \(\mathrm{M} \vDash \mathrm{C}\) if and only if \(\mathrm{C}^{\prime} \rightarrow \mathrm{C}\) for some \(\mathrm{C}^{\prime} \in \mathrm{M}\).
(ii) \(\mathrm{M} \vDash \mathrm{M}^{\prime}\) if and only \(\forall \mathrm{C}^{\prime} \in \mathrm{M}^{\prime} \exists C \in \mathrm{M} .\left(\mathrm{C} \rightarrow \mathrm{C}^{\prime}\right)\)


Idea 1: maintain an "under-approximation" of the goal schema mapping (the initial hypothesis is the empty schema mapping)

Idea 2: any counterexample to an equivalence query can be efficiently transformed (using membership queries) into new constraint \(\mathrm{C}^{\prime}{ }_{i+1}\) that we add to the current hypothesis.

Idea 3: in polynomial many steps, we arrive at cs.

\section*{Exact learnability (summary)}
- Theorem (stated again): GAV(S,T) is efficiently exactly learnable with membership and equivalence queries.
- Theorem: \(\operatorname{GAV}(\mathbf{S}, \mathbf{T})\) is not efficiently exactly learnable with membership queries, unless \(\mathbf{S}\) contains only unary predicates.
(Combinatorial argument: exponentially many data examples may be needed in order to identify the goal schema mapping with certainty.)
- Theorem: \(\operatorname{GAV}(\mathbf{S}, \mathbf{T})\) is not efficiently exactly learnable with equivalence queries, unless \(\mathbf{S}\) contains only unary predicates.
(Reduction from the analogous problem for Monotone DNF formulas [Angluin '87; ‘90].)

\section*{PAC learnability}
- PAC (Probabilistically Approximately Correct) learning algorithm:
- Input: a natural number n bounding the size of the goal concept, and rationals \(\delta>0\) and \(\varepsilon>0\).
- Algorithm has access to an oracle that generates labeled random examples according to some probability distribution.
- For every goal concept of size at most n, and for every probability distribution D, the algorithm, when given labeled random examples drawn from \(D\), produces with high probability (1-ס), a hypothesis that has a small expected error \((\varepsilon)\) on random examples drawn from D.
- The algorithm terminates in time polynomial in \(1 / \delta,{ }^{1} / \varepsilon\), n , and the maximal size of a labeled example returned by the oracle.

\section*{PAC learnability}
- Theorem: GAV(S,T) is not efficiently PAC learnable, unless S contains only unary relations.

The proof is based on a reduction from non-PAC learnability of monotone DNF formulas [Alekhnovich et al. ‘08]
- Theorem: \(\operatorname{GAV}(\mathbf{S}, \mathbf{T})\) is efficiently PAC learnable with membership queries and an oracle for NP.

Obtained as a consequence of the exact learnability result (the need for an NP oracle reflects the hardness of checking whether a candidate schema mapping fits a given data example)

\section*{Approximating the Smallest Fitting Schema Mapping}
- Call a set of labeled examples consistent if a fitting GAV schema mapping exists.
- Recall: For any consistent set of labeled examples, a canonical fitting GAV schema mapping can be computed in linear time.
- The canonical fitting GAV schema mapping has the same order of size as the input examples. Much shorter fitting GAV schema mappings may exist.
- Can we do better? Can we compute a fitting GAV schema mapping whose size is close to minimal?

\section*{Approximating the Smallest Fitting Schema Mapping}
- Theorem: there is no polynomial time algorithm that, given a consistent set of data examples, produces a fitting GAV schema mapping of size less than \(n^{k}\), for fixed \(k\), where \(n\) is the size of the smallest fitting GAV schema mapping (assuming RP \(\neq \mathrm{NP}\) )
- Obtained as a corollary of our non-efficient PAC learnability result (in fact we obtain a slightly stronger non-approximability result.)
- The same result holds when the input is a single universal example.

\section*{Conclusion on Learning Schema \\ Mappings}
- We studied the problem of obtaining a schema mapping from data examples from the lens of computational learning theory.
- We obtained both positive and negative results.
- GAV schema mappings are efficiently exactly learnable, but only if both membership and equivalence queries are allowed.
- GAV schema mappings are not PAC learnable, but they are PAC learnable with membership queries and access to an NP-oracle.
- Open questions:
- are GAV schema mappings efficiently PAC learnable with membership queries (and without an NP-oracle)?
- What about LAV schema mappings, and GLAV schema mappings?

\section*{Deriving Schema Mappings from Data Examples}
- Further open question
- Richer schema mapping languages (including, e.g., target constraints, data value transformation, ...)
- Suitable definitions of "approximate fitting" for data examples, for which no fitting schema mapping exists.

\section*{Final Words}
- Data example are useful in schema mapping design, understanding, refinement.
- Two main thrusts:
- Illustrating/ characterizing a (candidate) schema via data examples
- Deriving schema mappings from examples
- The research we presented draws from different areas, such as databases, constraint satisfaction, logic, and computational learning.
- Schema mapping design can be a difficult task, and data examples constitute a helpful tool.```

