

EX 2: $T(n) = 5T\left(\frac{n}{4}\right) + n$

$a = 5, b = 4, \log_4 5 = 1.1609... > 1$

LET $\epsilon = \log_4 5 - 1$ SO $\log_4 5 - \epsilon = 1$. THEN

$$f(n) = n^1 = O(n^{\log_4 5 - \epsilon})$$

\therefore WE ARE IN CASE (1).

$$T(n) = \Theta(n^{\log_4 5})$$

EX 3: $T(n) = 5T\left(\frac{n}{4}\right) + n^2$

$a = 5, b = 4, \log_4 5 = 1.1609... < 2$. LET $\epsilon = 2 - \log_4 5$,
SO $\log_4 5 + \epsilon = 2$. THEN

$$f(n) = n^2 = \Omega(n^{\log_4 5 + \epsilon})$$

\therefore CASE (3). MUST CHECK REGULARITY CONDITIONS:

$$a f\left(\frac{n}{b}\right) \leq c f(n) \text{ FOR SOME } c < 1.$$

i.e. $\frac{5}{16} n^2 \leq c n^2$

WE MAY CHOOSE ANY c SATISFYING $\frac{5}{16} \leq c < 1$.

SINCE THE REGULARITY CONDITION IS SATISFIED

$$T(n) = \Theta(n^2)$$

4.4 PROOF OF THE MASTER THEOREM.

WE PROVE THE MASTER THEOREM IN CASE (1) ONLY. SEE P. 79-84 FOR DETAILS ON OTHER CASES.

FOR DEFINITENESS, WE TAKE THE RECURRENCE TO BE

$$T(n) = \begin{cases} d & 1 \leq n < \lfloor b \rfloor \\ aT(\lfloor \frac{n}{b} \rfloor) + f(n) & n \geq \lfloor b \rfloor \end{cases}$$

THE PROOF IS SIMILAR IN THE CASE OF CEILINGS $T(\lceil \frac{n}{b} \rceil)$ OR FOR A DIFFERENT SET OF INITIAL TERMS, AND IS LEFT AS AN EXERCISE.

PROOF.

UPON ITERATING THE RECURRENCE WE OBTAIN

$$\begin{aligned}
T(n) &= f(n) + a T(\lfloor \frac{n}{b} \rfloor) \\
&= f(n) + a (f(\lfloor \frac{n}{b} \rfloor) + a T(\lfloor \frac{\lfloor \frac{n}{b} \rfloor}{b} \rfloor)) \\
&= f(n) + a f(\lfloor \frac{n}{b} \rfloor) + a^2 T(\lfloor \frac{n}{b^2} \rfloor) \\
&\vdots \\
&= \sum_{i=0}^{k-1} a^i f(\lfloor \frac{n}{b^i} \rfloor) + a^k T(\lfloor \frac{n}{b^k} \rfloor)
\end{aligned}$$

THE PROCESS TERMINATES WHEN k IS CHOSEN SO THAT $1 \leq \lfloor \frac{n}{b^k} \rfloor < \lfloor b \rfloor \leq b$. i.e.

$$\begin{aligned}
1 \leq \lfloor \frac{n}{b^k} \rfloor < b &\iff 1 \leq \frac{n}{b^k} < b \\
&\iff b^k \leq n < b^{k+1} \\
&\iff k \leq \log_b n < k+1 \\
&\iff k = \lfloor \log_b n \rfloor
\end{aligned}$$

LET $k = \lfloor \log_b(n) \rfloor$ AND OBSERVE $k \leq \log_b n$

Thus

$$(1) \quad T(n) = \sum_{i=0}^{k-1} a^i f(\lfloor n/b^i \rfloor) + da^k$$

Since $f(n) = O(n^{\log_b a - \epsilon})$ there exists positive c and n_0 such that

$$(2) \quad 0 \leq f(n) \leq cn^{\log_b a - \epsilon}$$

For all $n \geq n_0$.

Observe that if we alter the value of $f(n)$ for $n \in \{1, 2, \dots, n_0 - 1\}$ then we change a fixed set of terms in (1), which does not change the asymptotic order of $T(n)$. Thus we may assume that (2) holds for all $n \geq 1$.

Therefore for all $n \geq 1$:

$$0 \leq f(\lfloor n/b^i \rfloor) \leq c \lfloor n/b^i \rfloor^{\log_b a - \epsilon} \leq c \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

Hence

$$\begin{aligned}
& \sum_{i=0}^{k-1} a^i T(\lfloor L^n/b^i \rfloor) \\
& \leq \sum_{i=0}^{k-1} a^i \cdot c \left(\frac{n}{b^i}\right)^{\log_b a - \varepsilon} \\
& = c n^{\log_b a - \varepsilon} \sum_{i=0}^{k-1} a^i (b^{-i})^{\log_b a - \varepsilon} \\
& = c n^{\log_b a - \varepsilon} \sum_{i=0}^{k-1} a^i (b^{\log_b a})^{-i} \cdot b^{i\varepsilon} \\
& = c n^{\log_b a - \varepsilon} \sum_{i=0}^{k-1} (b^\varepsilon)^i \\
& = c n^{\log_b a - \varepsilon} \left(\frac{(b^\varepsilon)^k - 1}{b^\varepsilon - 1} \right) \\
& \leq \left(\frac{c}{b^\varepsilon - 1} \right) n^{\log_b a - \varepsilon} \cdot \left[(b^{\log_b n})^\varepsilon - 1 \right] \\
& = \left(\frac{c}{b^\varepsilon - 1} \right) \left(n^{\log_b a} - n^{\log_b a - \varepsilon} \right) \\
& = \Theta(n^{\log_b a})
\end{aligned}$$

Thus

$$\begin{aligned}
T(n) & \leq \Theta(n^{\log_b a}) + d \cdot a^k \\
& \leq \Theta(n^{\log_b a}) + d a^{\log_b n} = O(n^{\log_b a})
\end{aligned}$$

$$\therefore T(n) = O(n^{\log_b a})$$

BUT ALSO FROM (1) AND (2)

$$T(n) = \sum_{i=0}^{k-1} a^i f(\lfloor n/b^i \rfloor) + da^{ik}$$

$$\geq da^{ik}$$

$$\geq da^{\log_b n - 1} \quad (\lfloor x \rfloor > x - 1)$$

$$= \frac{d}{a} \cdot n^{\log_b a}$$

$$= \Omega(n^{\log_b a})$$

$$\therefore T(n) = \Omega(n^{\log_b a}) \text{ ALSO.}$$

$$\therefore T(n) = \Theta(n^{\log_b a}) \text{ AS REQUIRED. III.}$$

READ PROOFS OF CASES (2) AND 3 IN BOOK.

CHANGE OF VARIABLES

Ex. $T(n) = T(\lfloor \sqrt{n} \rfloor) + 1$, $T(2) = T(3) = 0$

DEFINE $m = \lg n$. THEN $n = 2^m$ AND $\sqrt{n} = 2^{m/2}$.
NOW DEFINE

$$\begin{aligned} S(m) &= T(2^m) = T(n) = T(\sqrt{n}) + 1 \quad (\text{DROPPING } \lfloor \rfloor) \\ &= T(2^{m/2}) + 1 = S\left(\frac{m}{2}\right) + 1 \end{aligned}$$

$$\therefore S(m) = S\left(\frac{m}{2}\right) + 1$$

BY CASE 2 OF MASTER THEOREM,

$$S(m) = \Theta(\lg m)$$

THUS

$$T(n) = \Theta(\lg \lg(n))$$

EXERCISE:

FIND THE EXACT SOLUTION FOR $T(n)$.